# A COMPARISON OF HUMAN AND AUTOMATIC MUSICAL GENRE CLASSIFICATION

S. Lippens, J.P Martens, M. Leman, B. Baets, H. Meyer

Ghent University Department of Electronics and Information Systems Sint-Pietersnieuwstraat 41 B9000 Gent Belgium

# ABSTRACT

Recently there has been an increasing amount of work in the area of automatic genre classification of music in audio format. Such systems can be used as a way to evaluate features describing musical content as well as a way to structure large collections of music. However the evaluation and comparison of genre classification systems is hindered by the subjective perception of genre definitions by users. In this work we describe a set of experiments in automatic musical genre classification. An important contribution of this work is the comparison of the automatic results with human genre classification on the same dataset. The results show that, although there is significant room for improvement, genre classification is inherently subjective and therefore perfect results can not be expected from either automatic algorithms or human annotation. The experiments also show that the use of features derived from an auditory model have similar performance with features based on Mel-Frequency Cepstral Coefficients (MFCC).

# 1. INTRODUCTION

Musical genres are categorical labels that are created by humans in order to organize the vast universe of music. They arise through a complex interplay of culture, art, and market forces. The boundaries between different genres are not well defined and therefore it is difficult to find precise definitions and mathematical formulas that can automatically identify the genre of a piece of music. A listener judges the genre of a piece of music based on both objective and subjective measures. Table 1 shows the number of genres used by different musical content providers and illustrates the lack of consensus about musical genres. This variation is caused by differences about the existence, importance, boundaries and hierarchy of genres between different groups of people. A further complication arises from sales related influences (e.g. targeting a specific audience) which result in pseudo-genres such as "compilations", "box sets" and "children".

Based on these observations the task of automatic genre classification directly from audio signals is not trivial. Automatic music genre classification allows the automatic structuring and organization of large archives of music and also provides a good way to compare and evaluate feature sets that attempt to represent musical content. Recently a number of automatic musical genre classification algorithms that combine signal processing and statistical pattern classification have been proposed. Although the reported results are promising to the best of our knowledge there has not G. Tzanetakis \*

Carnegie Mellon University Computer Science Department 5000 Forbes Avenue, Pittsburgh PA 15218 USA

All Music	691	radio.real.com	18
mp3.com	288	BPI	17
Yahoo!	116	Warner Bros	16
Indiana University	31	Atlantis Records	13
Amazon	25	Virgin	13
Sony	25	IFPI Belgium	11
Altavista	25	MTV	5

**Table 1**. A snapshot of some music related organizations an the number of genres they use on their website (February 2003).

been any attempt to compare the performance of automatic genre classification algorithms with human annotations by average listeners. In this paper, we describe a set of experiments that compare the results of automatic algorithms and human annotation for the task of musical genre classification using the same dataset. In addition we show that the use of a computationally demanding but psychoacoustically more accurate auditory model as a feature front end does not seem to provide any significant advantage for musical genre classification compared to the use of the standard Mel Frequency Cepstral Coefficients.

# 1.1. Related Work

Previous work in the area of automatic musical genre classification includes: features computed based on wavelet analysis [1], visual texture features of spectrograms [2], and a specialized architecture called "Explicit Time Modeling Neural Networks" [3]. A comparison of audio features with features extracted from the analysis of cultural meta-data such as download usage patterns is presented in [4]. A detailed study of automatic musical genre classification is presented in [5] and the proposed features have been used in the experiments presented in this paper. A more detailed description of the experiments presented in this paper can be found in [6]. A recent review of representing musical genre in digital music distribution is provided in [7] which covers manual annotation, automatic methods and usage-based methods such as collaborative filtering. To the best of our knowledge, the only result in the performance of humans for the task of musical genre classification is [8] which reports classification accuracy of approximately 70%using 10 genres. No attempt for comparison with automatic algorithms is made. The more general problem of organizing digital collections of music for searching and browsing is the topic of the emerging research area of Music Information Retrieval (two good recent overviews of MIR are [9, 10]).

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# 2. FEATURE EXTRACTION AND CLASSIFICATION

The main set of features used in this paper were proposed in [5]. These features attempt to represent timbral texture, rhythmic content and pitch content information. The features used to represent timbral texture are based on standard features proposed for music-speech discrimination and speech recognition. They consist of a set of 4 features computed based on the Short Time Fourier Transform (STFT) magnitude spectrum such as the Spectral Centroid (defined as the first moment of the magnitude spectrum) as well as the first 5 Mel-Frequency Cepstral Coefficients (MFCC) [11]. These features are computed using an analysis window of 20 milliseconds. Means and variances of the features over a larger texture window (1 second) with a hop size of 20 milliseconds are computed resulting in a set of 18 features. An additional feature (the percentage of low energy frames over the texture window) results in a timbral texture feature vector of 19 dimensions.

The basis of representing rhythmic content is the calculation of a Beat Histogram (BH) that shows the distribution of various beat periodicities of the signal. For example a piece with tempo 60 Beats-per-Minute (BPM) would exhibit BH peaks at 60 and 120 BPM. The BH is calculated using periodicity detection in multiple octave channels that are computed using a Discrete Wavelet Transform. In [5], six numerical features that attempt to summarize the BH are computed and used for classification.

In addition to the feature set proposed in [5] we explored the use of an auditory model as a front-end for feature calculation. The model is described in [12] and attempts to represent in more detail the physiology of the human ear. More specifically it implements the transition from waveform in the air to activity pattern in the auditory nerves with the following stages: 1) a low-pass filter (2nd order, resonance at 4kHz with 10 dB boost) mimics the propagation in the outer and middle ear 2) 40 band-pass filters model the mechanical filtering in the cochlea. The band-pass filters follow a specific scheme for spacing of central frequencies and have 3dB width 3) the hair cell model converts the 40 audio signals to neural signals (peaks trains) with compression of dynamic range, short term adaptation and coding of temporal information. (the time constants, dynamic range, saturation values, etc were chosen to fit closely physiological measures) 4) a low-pass filter is applied for envelope detection to get a measure of intensity. The resulting 40 channels were sampled every 10 milliseconds. Further calculation of the features is very similar to the calculation of the MFCC based features. Instead of using the logarithm of the STFT coefficients as in the case of the standard MFCC calculation, the 40 channel values were used to calculate the DCT coefficients. Means and variances of these DCT coefficients over a larger window of typical 30 seconds result in the auditory model based feature vector. We experimented with feature sets derived from the first 5, 7, 9, 11 and 13 DCT coefficients of the auditory model's output.

For classification, a number of standard statistical pattern recognition classifiers were used. The simple Gaussian (GS) classifier, model each class probability density function (pdf) as a multidimensional Gaussian distribution whose parameters are estimated using the training set. In the Gaussian Mixture Model (GMM), each class pdf is assumed to be a mixture of K weighted multidimensional Gaussian distributions. The iterative Expectation Maximization (EM) algorithm can be used to estimate the parameters of each Gaussian component and the mixture weights. The K-nearest neighbor classifier (KNN) is an example of a non-parametric classifier where each sample is labeled according to the majority of its K nearest neighbors. More information about these classifiers and statistical pattern recognition in general can be found in [13].

# 3. EVALUATION

The typical approach in the published literature on genre classification has been to use the labels provided by some authority, train classifiers and present classification accuracy results by using cross-validation. These results are difficult to interpret because they are not be directly comparable with the performance of humans for the same task. In order to put our classification results in context we establish both lower (random classification) and upper bounds (human classification) on the classification accuracy.

#### 3.1. Establishing bounds for the classification results

In this section we will try to sketch a profile of the dataset we used both in a qualitative and quantitative way. This is important because the used dataset has a huge influence on the achievable results and this fact hinders the comparison among different experiments with different datasets.

The MAMI dataset is a collection of 160 full length tracks of music. This dataset is used for a variety of research in the area of content based musical audio mining, with a focus on 'query by humming'. The construction of the set is aimed at giving a representative view on the western music consumption today, based on the sales figures from IFPI (the International Federation of Phonographic Industry) in Belgium for the year 2000. Originally, the tracks were annotated with 11 musical genres, according to the classification of IFPI. Initial experiments made clear that this labeling was not appropriate for training genre classifiers. For example some genres had few examples or were very heterogeneous. In order to address this issue, a set of 6 basic genres was defined and user experiments were conducted to confirm that their definitions are consistent among different subjects.

A new labeling of the dataset was obtained by surveying 27 human listeners. We let them listen to the central 30 seconds of each track m (m = 1 to 160) and asked them independently to choose a musical genre s out of 6 possibilities: classical, dance, pop, rap, rock or other (the latter was for the case none of the previous was really applicable). For each track m we define  $Q_s^{(m)}$  as the number of votes for genre s. The maximum number of votes among the genres, called  $Q_{max}^{(m)}$ , indicates the elected genre  $G^{(m)}$ , which is used as the new label for each track. This results in the following structure of the MAMI dataset: 24 classical, 18 dance, 69 pop, 8 rap, 25 rock and 16 other tracks. As an extra, we have  $Q_{max}^{(m)}$  at our disposal as a measure of unanimity about the musical genre. Figure 1 shows the histogram of  $Q_{max}^{(m)}$  for the whole dataset. Besides the peak around 27 votes, there is a considerable second peak around 15 votes. These are mainly tracks with many votes for 'other', and little consensus among the human listeners. The average of  $Q_{max}^{(m)}$  is 20.6 votes.

Another application of the survey is the evaluation of the human classification. For each human respondent we compared their selected genres with  $G^{(m)}$ , leading to a *percentage corresponding classification*. The 27 listeners achieve 76% corresponding classification on average (further referred to as  $C_h$ ), with individual results ranging from minimum 57% to maximum 86%. All these presented measures indicate that there is a high degree of subjectivity involved with genres in the MAMI dataset. This situation is



Fig. 1. histogram of the number of votes for the elected genre

clearly not optimal for an unambiguous training of musical genres. On the other hand, the construction of the dataset comes nearer to real life applications than an dataset with a well thought-out selection of genres and examples. To examine the influence of this fact we selected the 98 tracks with the following two properties: 1) the genre  $G^{(m)}$  can be all but 'other' and 2)  $Q_{max}^{(m)}$  is 18 or more. We call this constructed subset of the MAMI dataset the 'MAMI2' dataset. On this dataset the human listeners achieve 90% average corresponding classification ( $C_h$ ).

Besides the human performance on the dataset, which we shall refer to as an upper bound, we can also define lower bounds to the performance of automatic classification. Those bounds give us a reference framework for putting the achieved results of automatic classification in perspective.

A straightforward definition of a lower bound is random classification where every class (genre) has an equal probability of selection. If there are K classes  $\omega_k$ , the expected correct classification uses the *a priory probabilities* of each class. We define the number of instances in each class  $\omega_k$  ( $1 \le k \le K$ ) as  $N_k$  and the total number of instances as  $N = \sum_k N_k$ . The a priory probability of an instance belonging to class  $\omega_k$  is then  $P(\omega_k) = N_k/N$ . In the a priory probability random classification scheme we set the probability of selection of a class  $\omega_k$  equal to the a priory probability  $P(\omega_k)$  of that class. The expected correct classification is in this case  $C_r = \sum_k P^2(\omega_k)$ . Because the bayesian decision theory employed for the automatic classification is based on a priori probabilities,  $C_r$  is more appropriate to use as an lower bound in the reference framework.

#### 3.2. Experiments and Results

A number of experiments were conducted in order to examine different choices in the feature extraction and classification system. The experiments were implemented using Marsyas (http: //marsyas.sourceforge.net), a free software framework for rapid development and evaluation of Computer Audition applications. Feature extraction is a key operation because it has to capture precisely those components of the input signal that determine the genre. We took the central 30 seconds of each track of the MAMI dataset and applied all the possible combinations of feature sets and classification models to it. The leave-one-out evaluation

classified			class			
as	classical	dance	pop	rap	rock	other
classical	.75	.00	.07	.00	.04	.25
dance	.04	.83	.17	.50	.12	.00
pop	.04	.17	.48	.25	.20	.13
rap	.00	.00	.00	.13	.00	.00
rock	.08	.00	.13	.00	.60	.00
other	.08	.00	.14	.13	.04	.63

**Table 2.** Confusion matrix for the MFCC-based and rhythm-based feature extractor and GS classifier on the MAMI dataset.

method was used where each example is withheld for testing and the remaining examples are used for training. The best result we obtained was 58% correct classification with just the timbral texture features (STFT and MFCC) and the 3-nearest neighbor classifier. If we look at the top 10 results (ranging from 58% to 55%), the combination of MFCC-based features and the Beat Histogram features managed to obtain 5 places with both nearest neighbour classifiers as parametric models (Single Gaussian and Gaussian Mixture Model), while other extractors only perform well with nearest neighbor classifiers. Another good point for the MFCCbased and rhythm-based feature extractor is the fact that even his worst result is better than the average results of the other extractors. As an illustration, table 2 shows the confusion matrix for the experiment with this feature extractor and GS classifier.

To examine the auditory model based features, we used feature sets derived from the first 5, 7, 9, 11 and 13 DCT coefficients. This achieved no better results than the comparable MFCC-based feature extractor. This indicates that the use of more computationally demanding but psychoacoustically accurate auditory model as a feature front-end doesn't make a big difference for the task of automatic musical genre classification. The best results were acquired using the first 5 DCT coefficients. The use of more coefficients resulted in less performance. This is in accordance with [5].

In the previous section about the reference framework, we already introduced the use of different datasets. Figure 2 shows the results of automatic classification with the MFCC-based and rhythm-based extractor and the GS classifier for the two datasets MAMI and MAMI2. Also the reference framework is shown. The automatic classification clearly outperforms the random classification as expected, but there's still a gap of around 20% with the human classification.

We also focused on the influence of the choice of fragments in the tracks. Initially one fragment with varying length (1 to 30 seconds) was used per track. It always positioned in the middle of the track so no automatic segmentation was used for fragment selection. For features aimed to capture the musical texture, we did not experienced significant improvements using fragments longer than 10 seconds, even 5 seconds were sufficient in most cases. The beat related features on the contrary showed a slight increase of performance with longer fragments.

The use of fragments of tracks made it possible to do a variation on the classification approach. We took as many as possible non overlapping fragments of 30 seconds per track. For each track we trained the system with all the fragments of the other tracks and then classified all the fragments of the track with the trained model. Finally we classified the whole track according to the most estimated genre among his fragments. Obviously the classification



Fig. 2. The results of automatic classification with the MFCCbased and rhythm-based feature extractor and GS classifier for different datasets and an alternative rating scheme. The reference framework ( $C_r$  and  $C_h$ ) is also shown.

of the tracks was in general better than the classification of all the fragments, because an isolated misclassification of a fragment of a track doesn't cause the whole track to be misclassified. On the other hand, the comparison between this classification scheme of tracks and the standard classification scheme with only one central fragment per track does not show a significant improvement in classification accuracy. We think the use of extra fragments per track in combination with the not optimal character of the MAMI dataset causes an extra blurring of the trained genre model, so there is no noticeable gain.

A last class of experiments used an alternative ranking scheme based on the human votes. The purpose is to examine the presence of so called 'graceful errors' [7], meaning that due to subjectivity a classification of a particular 'pop' track as 'soft rock' can be more understandable and should be less punished than classifying a 'baroque' track as 'hardcore punk'. Practically, for each track m we rated the classification in a genre t with a score  $Q_t^{(m)}/Q_{max}^{(m)}$ , with  $Q_t^{(m)}$  the number of votes for genre t for that track m and  $Q_{max}^{(m)}$  the number of votes for the elected genre, as previously defined. The right part of figure 2 shows the results of this experiment and should be compared with the first three bars of the left part of figure 2 (unweighted rating with the same dataset). The human classification clearly benefits of the milder validation, but the automatic classification shows less improvement in accuracy. It is even less than the improvement of the random classification, which indicates that no substantial presence of graceful errors can be identified.

# 4. CONCLUSIONS AND FUTURE WORK

A set of experiments comparing human and automatic musical genre classification were presented. The results indicate that there is significant subjectivity in genre annotation by humans. and puts the results of automatic genre classification into proper context. In addition, the use of computationally intensive auditory model didn't result in improved results compared to features calculated using MFCCs. In the future, we plan to explore more classifiers such as Support Vector Machines (SVM) which can improve genre classification results [14] as well as experiment with new feature sets. It is our hope that the current gap between automatic algorithms and human annotations for the task of musical genre classification will gradually disappear.

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