

CSc 225

**Algorithms and Data Structures I**

**Algorithm Analysis**

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# What is an Algorithm?

- An *algorithm* is a sequence of unambiguous instructions for solving a problem for obtaining the desired output for any legitimate input in a finite amount of time.
- An *algorithm* is a finite procedure, written in a fixed symbolic vocabulary, governed by precise instructions, moving in discrete steps, 1, 2, 3, ..., whose execution requires no insight, cleverness, intuition, intelligence, or perspicuity, and that sooner or later comes to an end.
- The nonambiguity requirement is critical
- The range of inputs has to be carefully specified
- An algorithm can be implemented in several different ways
- Algorithms for the same problem can be based on very different ideas and can solve the problem with very different speeds

# Fundamental Algorithms

- Selection (Linear Median)
- Quicksort, Heapsort
- Linear search, Hash search
- Tree traversals
- Graph traversals
- Depth first search, breadth first search

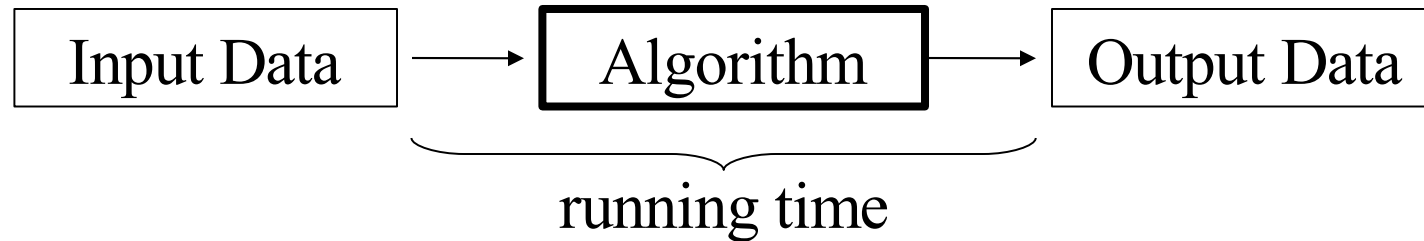
# What is a Data Structure?

- A data structure is a particular scheme for organizing and accessing related data items
- The nature of the data elements is dictated by the problem at hand

# Fundamental Data Structures

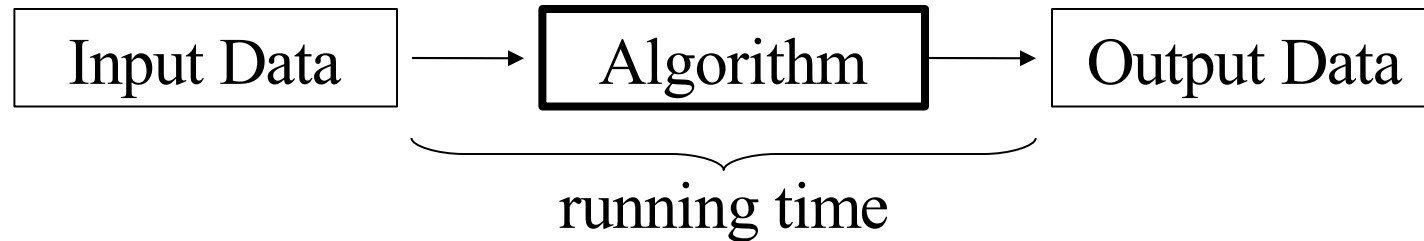
- Primitive data types
  - int, char, string, Boolean, double
- Compound data types
  - Arrays, records
  - Combination thereof
- Records or structs
  - Nested records or structs
- Arrays
  - 1-D arrays or vectors
  - 2-D arrays or tables
  - n-D arrays
- Lists
  - Linked lists, singly and doubly linked lists, skip lists
  - Stack, queues
- Trees
  - Rooted trees and forests
  - Ordered trees
  - Binary trees and m-way trees
  - AVL-trees
  - Heaps
  - Tries
- Graphs
  - Directed and undirected graphs
  - Weighted graphs
  - Paths and cycles
  - Representations: adjacency list, adjacency matrix, doubly connected edge list
- Sets
- Dictionaries
- Priority queues

# Limitations of Experiments Results



- The algorithm must be implemented
- Experiments can typically be done only on a limited set of test inputs
- When is a set of test inputs representative?
- To compare two algorithms the experiments must run on the same machine

# Algorithm Analysis Wish List



- Take all possible inputs into account
- Compare the efficiency of two different algorithms independent from computer and implementation
- Analyze algorithm *before* starting implementation

# Tools for Algorithm Analysis

- Language for describing algorithms
  - *pseudo-code*
- Metric for measuring algorithm running time
  - *primitive operations*
- Most common approach for characterizing running times
  - *Worst-case analysis*



# Pseudo Code

- An algorithm is the procedural or step-by-step solution of a problem
- The procedural solution can be at different levels of abstraction
  - Machine code
  - Assembly language
  - C
  - Pascal
  - C++
  - Java
  - C#
  - Pseudo code

# Problem: Find the maximum value in an array of $n$ numbers

```
...  
public int arrayMax(int[] A, int n) {  
    int currentMax = A[0];  
    for (int k = 1; k < n; k++) {  
        if (currentMax < A[k]) {  
            currentMax = A[k];  
        }  
    }  
    return currentMax;  
}
```

...

# Pseudo-code vs. Java code

```
currentMax ← A[0]
for k ← 1 to n-1 do
  if currentMax < A[k]
  then
    currentMax ← A[k]
return currentMax
```

```
...
public int arrayMax(int[] A,
  int n) {
  int currentMax = A[0];
  for (int i=1; i<n; i++) {
    if (currentMax < A[i]) {
      currentMax = A[i];
    }
  }
  return currentMax;
}
```

...

# Problem: Find the maximum value in an array of $n$ numbers

**Algorithm** arrayMax( $A, n$ ) :

**Input:** An array  $A$  storing  $n \geq 1$   
integers

**Output:** The maximum element in  $A$

currentMax  $\leftarrow$   $A[0]$

**for**  $k \leftarrow 1$  **to**  $n-1$  **do**

**if** currentMax  $<$   $A[k]$  **then**

        currentMax  $\leftarrow$   $A[k]$

**return** currentMax

# Pseudo Code: Expressions

- Assignment operator ( $\leftarrow$ )
  - $currentMax \leftarrow A[i]$
- Equality relation ( $=$ )
  - $currentMax = A[i]$   
is true if  $currentMax$  and  $A[i]$  have the same value, otherwise false.
- Smaller than ( $<$ ), greater than ( $>$ ), smaller or equal to ( $\leq$ ), greater or equal to ( $\geq$ )

# Pseudo Code: Method declarations

- **Algorithm** name(param1, param2,...)

**Algorithm** arrayMax( $A, n$ )

# Pseudo Code: Decision structures

- **if** condition **then**  
    true-actions  
[**else**  
    false-actions]  
**end**
- **if** currentMax < A[k] **then**  
    currentMax ← A[k]  
**end**

# Pseudo Code: While loops

- **while** condition **do**  
    actions  
**end**
- **while** currentMax < A[k] **do**  
    count  $\leftarrow$  2\*count  
    i  $\leftarrow$  k+1  
**end**



# Pseudo Code: Repeat loops

- **repeat**  
    actions  
**until** condition
  
- **repeat**  
     $i \leftarrow k+1$   
     $\text{count} \leftarrow 2 * \text{count}$   
**until**  $\text{currentMax} < A[i]$

# Pseudo Code: For loops

- **for** step-definition **do**  
    actions  
**end**
- **for**  $k \leftarrow 1$  **to**  $n-1$  **do**  
    **if**  $\text{currentMax} < A[k]$  **then**  
         $\text{currentMax} \leftarrow A[k]$   
    **end**  
**end**

# Pseudo Code: Array indexing and record field selection

- $A[k]$  represents the  $k^{\text{th}}$  cell in array  $A$ , indexed from  $A[0]$  to  $A[n-1]$ .
- $r.key$  represents the field  $key$  in record or struct  $r$

# Pseudo Code: Method calls and return statements

- Method call
  - `object.method(args)`
  - Example: `arrayMax (X, 13)`
- Return statement
  - **return** value

# What is the running time of this algorithm?

**Algorithm** arrayMax(A, n) :

**Input:** An array A storing  $n \geq 1$   
integers.

**Output:** The maximum element in A.

```
currentMax ← A[0]
```

```
for k ← 1 to n-1 do
```

```
    if currentMax < A[k] then
```

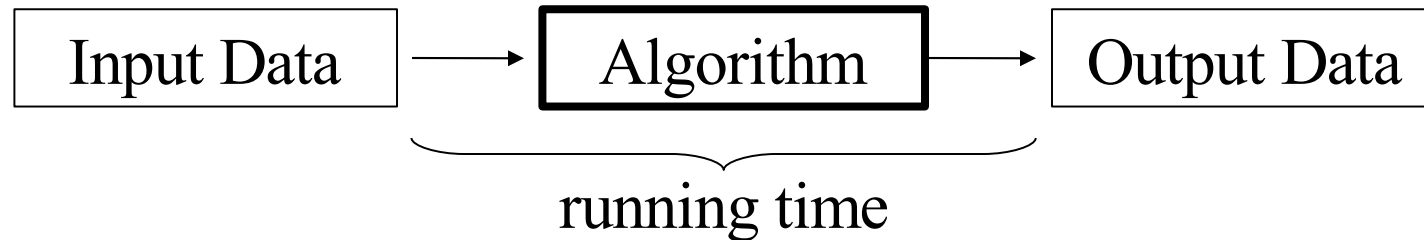
```
        currentMax ← A[k]
```

```
return currentMax
```

# Primitive Operations

- Assignments (A)
- Comparisons (C)
- Boolean expressions (B)
- Array indexing (I)
- Record selector or object reference (R)
- Arithmetic operations
  - Add, subtract (S)
  - Multiplication, division (D)
- Trigonometric operations (e.g., sin, cos, tan) (T)
- Calling a method, function, procedure, routine (M)

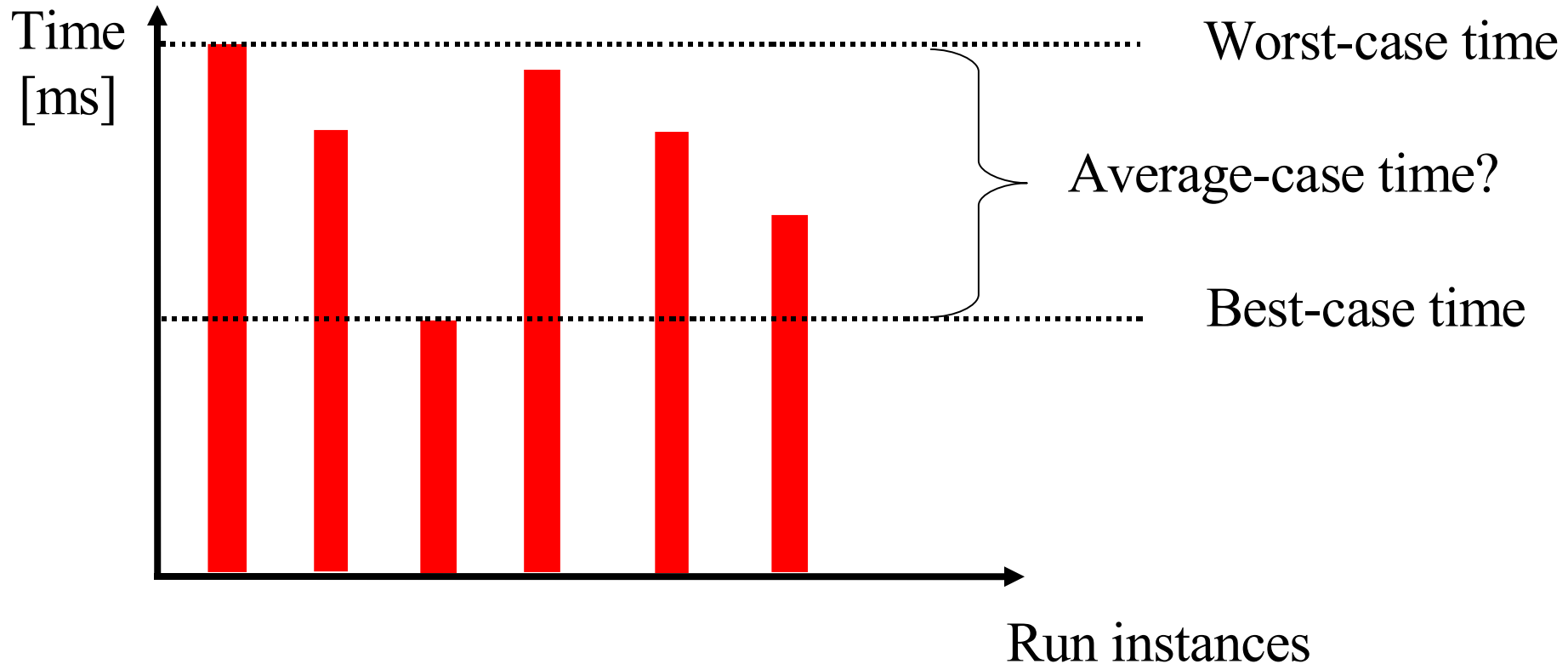
# Algorithm analysis



## Categories of algorithm running times

- Best case analysis  $T_b(n)$
- Average-case analysis  $T_a(n)$
- Worst-case analysis  $T(n)$

# Best-case, Average-case and Worst-case Analysis





# Determining the Average-case Time

- Calculate expected running times based on a given input distribution
- Typically the analysis requires heavy math and probability theory

# Determining the Best-case and Worst-case Running Time

- Worst-case  $T(n)$ 
  - What is the maximum number of primitive operations (depending on  $n$ ) executed by the algorithm, taken over all inputs of size  $n$ ?
  - Worst-case analysis is most common and may aid in the design of the algorithm (e.g., Linear Median algorithm)
- Best-case  $T_b(n)$ 
  - What is the minimum number of primitive operations (depending on  $n$ ) executed by the algorithm, given the most advantageous or best input configuration of size  $n$ ?

# Worst Case Running Time T(n)

## Counting Assignments, Comparisons & Indexing

```
currentMax ← A[0]
```

```
for k ← 1 to n-1 do
```

```
  if currentMax < A[k] then
```

```
    currentMax ← A[k]
```

```
  end
```

```
end
```

```
return currentMax
```

- How has the input to be arranged to produce the best case and the worst case?

### Worst case

- 1 A + 1 I +
  - 1 A + (N-1)\* {
    - 1 A + 1 S +
    - 1 C +
    - 1 C + 1 I +
    - 1 A + 1 I +
  - }
  - 1 C (to terminate loop)
  - 1 A
- $$T(n) = 5 + (n-1) * 7$$
- $$T(n) = 7n - 2$$

# Structure of a Recursive Algorithm

**Algorithm** recursiveAlgorithm( $n$ )

**if**  $n = 1$  **then**

*base-case*

**else**

*induction-step*

recursiveAlgorithm( $n-1$ )

**end**

- Let the worst case running time of recursiveAlgorithm be  $T(n)$

- Then 
$$T(n) = \begin{cases} c_1 & \text{if } n=1 \\ T(n-1) + c_2 & \text{otherwise} \end{cases}$$

# Structure of a Recursive Algorithm

**Algorithm** recursiveMax( $A, n$ ):

**Input:** An array  $A$  storing  $n \geq 1$  integers.

**Output:** The maximum element in  $A$ .

**if**  $n = 1$  **then return**  $A[0]$  **end**

**return**  $\max(\text{recursiveMax}(A, n-1), A[n-1])$

- **Base case:** 3 operations ( $n=1, A[0], \text{return}$ )
- **Induction step:**  $T(n-1)+7$  ops ( $n=1, A, n-1, n-1, A[n-1], \text{call}, \text{max}$ )

$$T(n) = \begin{cases} 3 & \text{if } n=1 \\ T(n-1)+7 & \text{otherwise} \end{cases} \quad \text{Recurrence Equation}$$

# Solving Recurrence Equation by Repeated Substitution

$$T(n) = T(n-1) + 7$$

$$T(n-1) = T(n-2) + 7$$

$$T(n-2) = T(n-3) + 7$$

...

$$T(2) = T(1) + 7$$

$$T(1) = 3$$

$$T(1) = 3$$

$$T(2) = 3 + 7 = 10$$

$$T(3) = 3 + 7 + 7 = 17$$

$$T(4) = 3 + 7 + 7 + 7 = 24$$

...

$$T(n) = 3 + 7(n-1)$$

$$T(n) = 3 + 7(n-1)$$

$$T(n) = 7n - 4$$

$$T(n) \in O(n)$$

$$T(n) = c_1 + c_2(n-1)$$

$$T(n) \in O(n)$$

# This lecture

- Algorithm analysis
  - writing pseudo code
  - counting primitive operations
  - analyzing worst/average/best cases
- Explore further
  - arrayMax average case analysis
    - assume the maximum is uniformly distributed

# Next lecture

- Asymptotic notation
  - read AD Chapter 1