

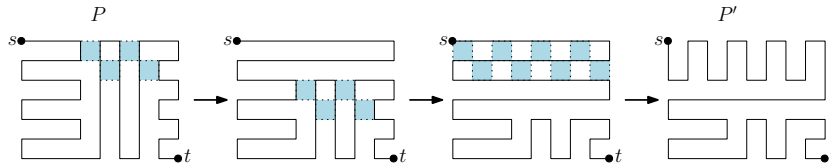
1        **Reconfiguring Simple  $s, t$  Hamiltonian Paths**  
 2                    **in Rectangular Grid Graphs**

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6        **Abstract.** We study the following reconfiguration problem: given two  
 7         $s, t$  Hamiltonian paths connecting diagonally opposite corners  $s$  and  $t$  of  
 8        a rectangular grid graph  $G$ , can we transform one to the other using only  
 9        *local* operations in the grid cells? In this work, we introduce the notion  
 10        of *simple  $s, t$  Hamiltonian paths*, and give an algorithm to reconfigure  
 11        such paths of  $G$  in  $O(|G|)$  time using local operations in unit grid cells.  
 12        We achieve our algorithmic result by proving a combinatorial *structure*  
 13        *theorem* for simple  $s, t$  Hamiltonian paths in rectangular grid graphs.

14        **1 Introduction**

15        An  $m \times n$  *rectangular grid graph*  $G$  is a subgraph of the infinite integer grid  
 16        embedded on  $m$  rows and  $n$  columns; the outer boundary of  $G$  is a rectangle  
 17         $\mathcal{R}$ , and the inner faces of  $G$  are  $1 \times 1$  grid cells, so  $G$  has  $mn$  vertices. An  $s, t$   
 18        *Hamiltonian path*  $P$  of  $G$  is a Hamiltonian path of  $G$  with endpoints at the top  
 19        left and bottom right vertices  $s$  and  $t$  of  $\mathcal{R}$ . See Figure 2(a) for an example.  
 20        The *reconfiguration of  $s, t$  Hamiltonian paths* in grid graphs is concerned with  
 21        transforming one  $s, t$  Hamiltonian path into another such Hamiltonian path of  
 22        the same graph using some *operation* that preserves Hamiltonicity in each inter-  
 23        mediate step of the transformation. Here, we give a reconfiguration algorithm  
 24        for a class of  $s, t$  Hamiltonian paths that we call ‘simple’ paths. Moreover, we  
 25        use an operation (namely, *pairs of switch operations* to be defined in Section 4)  
 26        that is *local* to the grid graph, not the path. See Figure 1.



**Fig. 1.** Reconfiguring simple  $s, t$  Hamiltonian path  $P$  to another such path  $P'$  using pairs of switch operations.

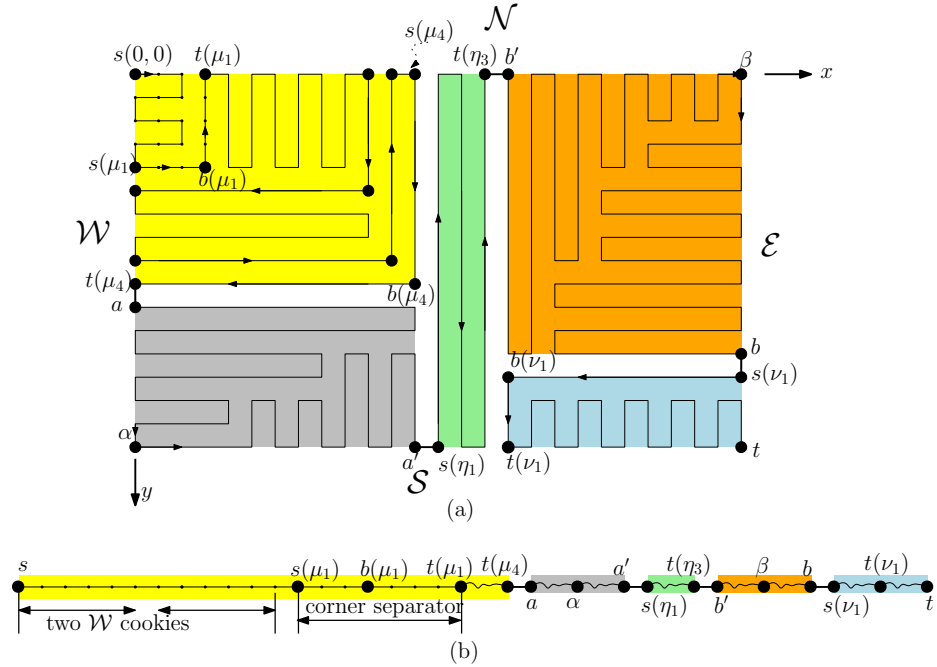
Each internal node  $v$  of  $G$  lies on an *internal subpath* of  $P$ , namely the subpath joining the first boundary vertices  $v_s$  and  $v_t$  met when travelling along  $P$  from  $v$  toward  $s$  and toward  $t$ , respectively. This internal subpath must make at least two bends (turns) if  $v_s$  and  $v_t$  lie on the same side of  $\mathcal{R}$ , at least one bend if they lie on adjacent sides, and does not need to bend if  $v_s$  and  $v_t$  lie directly opposite each other. An  $s, t$  Hamiltonian path  $P$  is *simple* if each such internal subpath has the minimum possible number of bends. Thus each internal node of  $G$  either lies on a bend-free internal subpath between directly opposite boundary nodes, or lies on a one-bend internal subpath between nodes on adjacent sides, or lies on a two-bend internal subpath between nodes on the same side. We call such paths  $P$  simple because they cannot wind and twist inside  $\mathcal{R}$ : internal subpaths can travel between any pair of sides of  $\mathcal{R}$ , yet can make only the minimum possible number of bends to do so. The Hamiltonian path in Figure 2(a) is simple. See Figure 11 for an example of a ‘twisty’ and ‘windy’  $s, t$  Hamiltonian path.

Although linear time reconfiguration algorithms have been designed for “1-complex” Hamiltonian cycles in rectangular grid graphs [?] (i.e., Hamiltonian cycles where each internal node of the grid is connected to the boundary by a straight line segment along the cycle), similar techniques do not carry over to  $s, t$  Hamiltonian paths. Whereas all the internal paths in a cycle must start and end on adjacent nodes on the boundary, paths allow internal subpaths to have endpoints that are far apart on the boundary. In this paper we study the structure and reconfiguration of simple  $s, t$  Hamiltonian paths as an important step towards understanding the structure of general  $s, t$  Hamiltonian paths and designing reconfiguration algorithms for them.

Hamiltonian paths and cycles in grid graphs have attracted interest in part due to their many applications (e.g., in robot navigation [?], 3D printing [?], and polymer science [?]). They have the potential to reduce turn costs and travel time and to increase navigation accuracy (e.g., [?], [?], [?]). We believe the general study of reconfiguration of paths in grids is both interesting on its own and also useful for exploring the space of route possibilities in grid-like environments such as warehouses and 3D printer platforms.

**Our Contributions.** Our work is the first to study reconfiguration of paths in grid graphs, while previous work on paths focused mainly on existence, enumeration, and generation, not reconfiguration. In particular, (1) we establish the structure of simple  $s, t$  Hamiltonian paths in rectangular grid graphs; (2) we introduce a *zip* operation, which uses a *switch* in a grid cell as the atomic local operation, to reconfigure simple  $s, t$  Hamiltonian paths; our zip operation is comprised of pairs of switch operations that preserve Hamiltonicity and its accompanying data structure facilitate running time analysis and possible implementation of our algorithm and other reconfiguration algorithms; (3) using the structure theorem, we give an algorithm to reconfigure any simple  $s, t$  Hamiltonian path to any other such path in time linear in the size  $|G|$  of the grid graph  $G$ .

**Related Work.** Itai *et al.* [?] gave necessary and sufficient conditions for the existence of a Hamiltonian path between any pair of vertices in a rectangular



**Fig. 2.** (a) Grid layout of a simple path  $P = P_{s,t}$ . (b) The combinatorial layout of  $P$ . (See Sections 2 and 3 for explanation of notation.)

72 grid graph. The existence problem for classes of non-rectangular grid graphs was  
 73 studied in [?, ?, ?]. Ruskey and Sawada studied existence of ‘bent’ Hamiltonian  
 74 cycles in grid graphs in  $d$ -dimension,  $d \geq 2$ , where each edge in a pair of succes-  
 75 sive edges on the path lies in a different dimension [?]. Combinatorial aspects  
 76 of Hamiltonian paths in grid graphs such as enumeration [?, ?] and generating  
 77 functions [?] have been explored.

78 Reconfiguration problems have attracted attention for some time [?, ?]. There  
 79 has been some recent work on reconfiguration of Hamiltonian cycles in grid  
 80 graphs. Takaoka [?] has shown that for some unembedded graph classes, deciding  
 81 whether there is a sequence of “switch” operations between two given Hamil-  
 82 tonian cycles is a PSPACE-complete problem. Nishat and Whitesides studied  
 83 reconfiguration of Hamiltonian cycles of “bend complexity 1” in grid graphs  
 84 without holes [?, ?, ?].

## 85 2 Terminology and Basics

86 Throughout this paper, a *simple path* means a simple  $s, t$  Hamiltonian path of  
 87  $G$ ;  $P$  visits each node of  $G$  exactly once and uses only edges in  $G$ . A *cell* of  $G$  is  
 88 an internal face of  $G$ . A vertex of  $G$  with coordinates  $(x, y)$  is denoted by  $v_{x,y}$ ,

89 where  $0 \leq x \leq n - 1$  and  $0 \leq y \leq m - 1$ . The top left corner vertex  $s$  of  $G$   
 90 has coordinates  $(0, 0)$ , and the positive  $y$ -direction is downward. We use the two  
 91 terms *node* and *vertex* interchangeably.

92 *Column  $x$*  of  $G$  is the shortest path of  $G$  between  $v_{x,0}$  and  $v_{x,m-1}$ , and *Row*  
 93  *$y$*  is the shortest path between  $v_{0,y}$  and  $v_{n-1,y}$ . We call Columns 0 and  $n - 1$  the  
 94 *west* ( $\mathcal{W}$ ) and *east* ( $\mathcal{E}$ ) boundaries of  $G$ , respectively, and Rows 0 and  $m - 1$  the  
 95 *north* ( $\mathcal{N}$ ) and *south* ( $\mathcal{S}$ ) boundaries.

96 Let  $P$  be a simple path of  $G$ . We denote by  $P_{u,w}$  the directed subpath of  
 97  $P$  from vertex  $u$  to  $w$ . Straight subpaths are called segments, denoted  $seg[u, v]$ ,  
 98 where  $u$  and  $v$  are the segment endpoints. An internal subpath  $P_{u,v}$  of  $P$  is called  
 99 a *cookie* if both  $u, v$  are on the same boundary (i.e.,  $\mathcal{N}$ ,  $\mathcal{S}$ ,  $\mathcal{E}$ , and  $\mathcal{W}$ ); otherwise,  
 100  $P_{u,v}$  is called a *separator*. (Note that removal of the nodes of a separator from  
 101  $G$  separates  $s$  from  $t$  in  $G$ .)

102 **Cookies and Separators.** A cookie has one of four types, depending on the  
 103 boundary where the cookie has its *base*. A cookie  $c$  is formed by three segments  
 104 of  $P$ ; the common length of the two parallel segments measures the *size* of  $c$ .

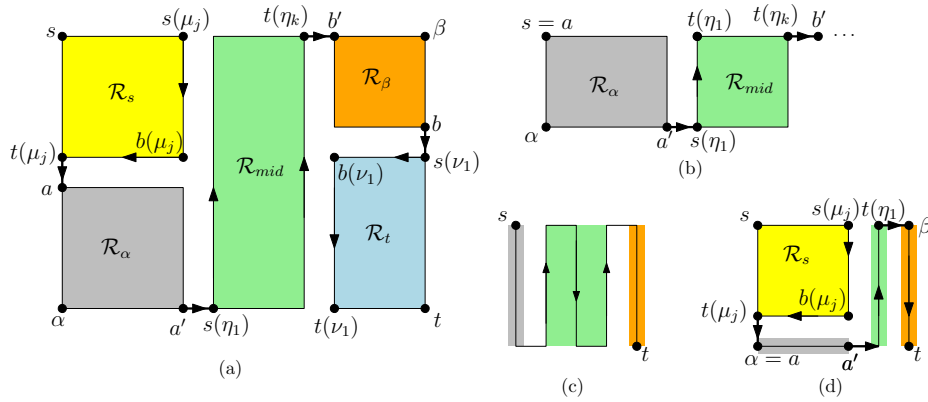
105 Since separators of  $P$  have endpoints on distinct boundaries, there are two  
 106 kinds, as shown in Figure 2: a *corner separator*  $\mu_i$  or  $\nu_i$  has one bend, and  
 107 a *straight separator*  $\eta_i$  has no bends. Traveling along  $P_{s,t}$ , we denote the  $i$ -th  
 108 straight separator we meet by  $\eta_i$  where  $0 \leq i \leq k$ , and its endpoints by  $s(\eta_i)$   
 109 and  $t(\eta_i)$ , where  $s(\eta_i)$  is the first endpoint met. We say a corner separator *cuts*  
 110 *off* a corner ( $s$  or  $t$ ). Traveling along  $P_{s,t}$ , we denote the  $i$ -th corner separator  
 111 cutting off  $s$  by  $\mu_i$ , where  $0 \leq i \leq j$ . We denote its internal bend by  $b(\mu_i)$ , and its  
 112 endpoints by  $s(\mu_i)$  and  $t(\mu_i)$ , where  $s(\mu_i)$  is the first endpoint met. Similarly, we  
 113 denote the  $i$ -th corner separator cutting off  $t$  by  $\nu_i$ ; endpoint  $s(\nu_i)$  is met before  
 114  $t(\nu_i)$ , with internal bend at  $b(\nu_i)$ , where  $0 \leq i \leq \ell$ . A corner separator that has  
 115 one of its endpoint connected to  $s$  or  $t$  by a segment of  $P$  is called a *corner*  
 116 *cookie*. We have  $j$  corner separators  $\mu_i$  cutting off  $s$ , and  $k$  straight separators,  
 117 and  $\ell$  corner separators  $\nu_i$  cutting off  $t$ . In Figure 2,  $j=4$ ,  $k=3$  and  $\ell=1$ . (We  
 118 will see that only  $s$  and  $t$  can be cut off.)

119 **Runs of Cookies.** A *run of cookies* is a subpath of  $P$  consisting of cookies of the  
 120 same type, spaced one unit apart and joined by the single boundary edges be-  
 121 tween them, possibly extended at either end by an edge joining a cookie endpoint  
 122 to an adjacent boundary vertex. A run of cookies is denoted  $Run[u, v]$ , where  $u$   
 123 and  $v$  belong to the same boundary and delimit the range of boundary vertices  
 124 covered;  $Run[u, v]$  may consist of a single boundary edge  $(u, v)$ . In Figure 2,  
 125  $Run[s, s(\mu_1)]$  is a run of  $\mathcal{W}$  cookies. To describe the path structure, we define  
 126 three types of runs, depending on the cookie sizes along the run: the sizes may  
 127 remain the same, or be non-increasing (denoted  $Run^{\geq}[u, v]$ ) or non-decreasing  
 128 ( $Run^{\leq}[u, v]$ ). Runs are assumed to have cookies of the same size unless specified  
 129 otherwise. In Figure 2,  $Run^{\geq}[a, a]$  is non-increasing;  $Run[s, s(\mu_1)]$ , which has  
 130 same size cookies, could also be viewed as non-increasing or non-decreasing.

131 **Canonical Paths.** A *canonical path* is a simple path  $P$  with no bends at internal  
 132 vertices. If  $m$  is odd,  $P$  can be  $\mathcal{E}$ - $\mathcal{W}$  and fill rows of  $G$  one by one; if  $n$  is odd,  $P$   
 133 can be  $\mathcal{N}$ - $\mathcal{S}$  and fill columns (see Figure 3(d)). There are no other types.

134 **Assumption.** Let  $\alpha$  and  $\beta$  denote the bottom left and top right corner vertices  
 135 of  $G$ . Without loss of generality, we assume the input simple path  $P_{s,t}$  visits  $\alpha$   
 136 before  $\beta$ . The target simple path for the reconfiguration as well as intermediate  
 137 configurations may visit  $\beta$  before  $\alpha$ . In the rest of this section and the next,  $P$   
 138 denotes the input simple path.

139 **Blocks.** As shown in Figure 3, corner separators  $\mu_j$  and  $\nu_1$  define rectangular  
 140 subgraphs, called *blocks*, of  $G$ , denoted  $\mathcal{R}_s$  and  $\mathcal{R}_t$ . Straight separators  $\eta_1$  and  
 141  $\eta_k$  determine a block  $\mathcal{R}_{mid}$ . The remaining nodes of  $G$  determine two blocks:  
 142  $\mathcal{R}_\alpha$ , with corners at  $\alpha$ ,  $a$  (upper left) and  $a'$  (lower right), and  $\mathcal{R}_\beta$ , with corners  
 143 at  $\beta$ , and  $b'$  (upper left) and  $b$  (lower right) as shown. Blocks  $\mathcal{R}_s$  and  $\mathcal{R}_t$  vanish  
 144 when  $j=0$  and  $\ell=0$ , respectively. For now the goal is to define the blocks. Later  
 145 we will observe that  $P_{s,t}$  connects the blocks with “links” as shown in the figure.



**Fig. 3.** (a) Blocks of  $P_{s,t}$ ; the *links* between blocks are darkened. (b)–(d) Some special cases. See Section 3 for details. (c) An  $\mathcal{N}$ - $\mathcal{S}$  canonical path.

146 We make two observations (the second is a consequence of the first) that  
 147 prepare for the structure theorem in the next section.

Observation 1. The subpath  $P_{s,\alpha}$  of  $P_{s,t}$  must cover all the  $\mathcal{W}$  vertices as otherwise  $P$  fails to be Hamiltonian or non-crossing. For the same reason, no corner separators cut off  $\alpha$  or  $\beta$ , and  $P_{s,t}$  must visit  $\beta$  before visiting any other  $\mathcal{E}$  vertices. It follows that the corner separators  $\mu_i$  cutting off  $s$  must occur in  $P_{s,t}$  before  $\alpha$ , and that the corner separators  $\nu_i$  cutting off  $t$  occur in  $P_{s,t}$  after  $\beta$ . It also follows that all straight separators  $\eta_i$  occur between  $\alpha$  and  $\beta$ , and that the number  $k \geq 1$  of them must be odd.  $\square$

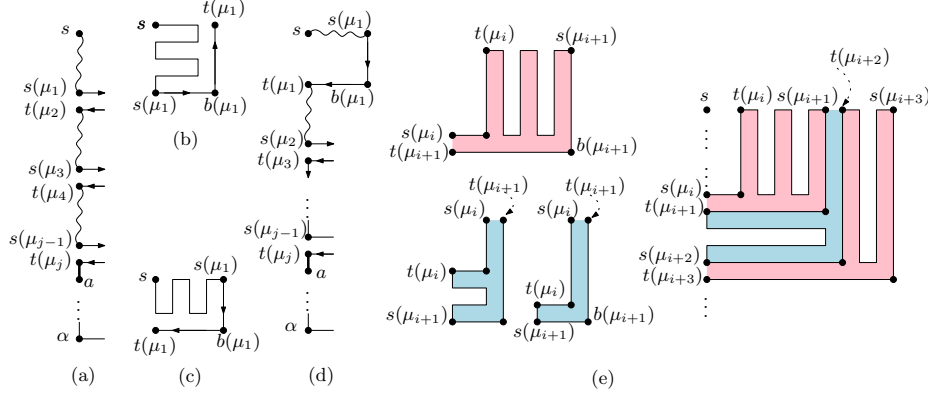
Observation 2.  $P_{s,t}$  breaks  $G$  into at most five blocks ( $\mathcal{R}_s$ ,  $\mathcal{R}_\alpha$ ,  $\mathcal{R}_{mid}$ ,  $\mathcal{R}_\beta$ , and  $\mathcal{R}_t$ ) as shown in Figure 3.  $P_{s,t}$  must join blocks with *link* edges, one on each boundary, directed as shown in the figure. In certain cases, detailed in Section 3, blocks (and their links) may vanish, or blocks may shrink to segments.  $\square$

148 **3 Structure of a Simple Path  $P$** 

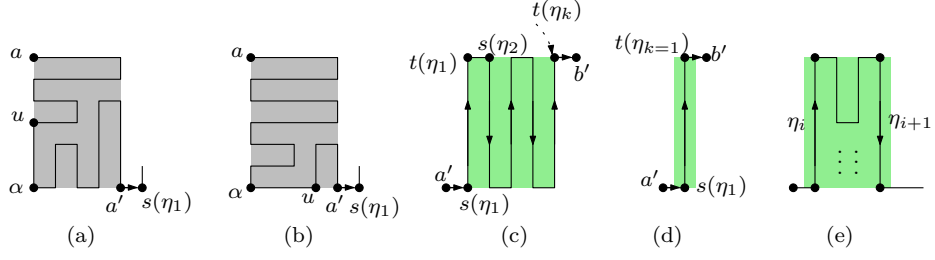
149 We regard  $P$  in its directed form  $P_{s,t}$  as composed of an *initial* subpath  $P_{s,s(\eta_1)}$ ,  
 150 followed by a *middle* subpath  $P_{s(\eta_1),t(\eta_k)}$ , and a *final* subpath  $P_{t(\eta_k),t}$ . Except  
 151 for three special cases **(a)**-**(c)** listed below, the initial subpath first covers all  
 152 the vertices in  $\mathcal{R}_s$ , next takes an edge  $(t(\mu_j), a)$ , denoted  $link(t(\mu_j), a)$ , to  $\mathcal{R}_\alpha$ .  
 153 It then covers  $\mathcal{R}_\alpha$  and then takes an edge  $link(a', s(\eta_1))$  to  $s(\eta_1)$ . The possible  
 154 forms for the final subpath are the same as for the initial subpath  $P_{t,s}$ , which is  
 155  $P_{s,t}$  in reverse.

156 **Three special cases:** **(a)** If  $\alpha$  is not adjacent to  $s(\eta_1)$  in  $G$  and  $j = 0$ , then  
 157  $s = a$  because  $\mathcal{R}_s$  disappears and the initial subpath begins at  $\mathcal{R}_\alpha$ , where each  
 158 side of  $\mathcal{R}_\alpha$  has length at least 1. See Figure 3(b). Similarly, if  $\beta$  is not adjacent  
 159 to  $t(\eta_k)$  and  $\ell = 0$ , then  $\mathcal{R}_t$  disappears and  $b = t$  and each side of  $\mathcal{R}_\beta$  has length  
 160 at least 1. **(b)** If  $\alpha$  is adjacent to  $s(\eta_1)$  in  $G$  and thus in  $P_{s,t}$ , then there is no  
 161 room for any  $\mu_i$  so as in case (a),  $j$  must be 0 and  $a = s$ ; furthermore, unlike  
 162 case (a),  $\mathcal{R}_\alpha = seg[s = a, \alpha]$ . See Figure 3(c) for an example. Similarly, if  $t(\eta_k)$   
 163 is adjacent to  $\beta$ , then  $\ell$  must be 0 and  $b = t$ , and  $\mathcal{R}_\beta = seg[\beta, b = t]$ . **(c)** If  
 164  $j > 0$  and  $P_{s,t}$  contains edge  $(t(\mu_j), \alpha)$  then  $\mathcal{R}_\alpha = seg[\alpha, a']$ . See Figure 3(d).  
 165 Similarly, if  $\ell > 0$  and  $P_{s,t}$  contains edge  $(\beta, s(\nu_1))$ , then  $\mathcal{R}_\beta = seg[b', \beta]$ .

166 A canonical path that visits  $\alpha$  before  $\beta$  falls into case **(b)** and has the form  
 167  $\parallel seg[s = a, \alpha] \parallel link(\alpha, s(\eta_1)) \parallel P_{s(\eta_1),t(\eta_k)} \parallel link(t(\eta_k), \beta) \parallel seg[\beta, b = t] \parallel$ .  
 168 (Recall Figure 3(c).) Here, and later on,  $\parallel$  is used to delimit subpaths. Observa-  
 169 tions 3, 4, and 5 below, together with Observations 1, 2 and associated figures,  
 170 will establish our structure theorem.



**Fig. 4.** Endpoint ordering on  $\mathcal{W}$  and form of  $P_{s,s(\mu_1)}$  for: (a),(b) even  $j > 0$ ;  
 (c),(d)  $j$  odd; (e) round trips  $P_{s(\mu_i),t(\mu_{i+1})}$  start on  $\mathcal{W}$  (red) or on  $\mathcal{N}$  (blue).



**Fig. 5.** (a) Block  $\mathcal{R}_\alpha$  where  $|seg[u, \alpha]| > 1$  and (b) where  $|seg[\alpha, u]| > 1$ ; (c)  $\mathcal{R}_{mid}$  for  $k > 1$ ; (d)  $\mathcal{R}_{mid}$  is a segment when  $k = 1$ . (e)  $\mathcal{R}_{mid}$  cannot have cookies.

171 Observation 3. (about  $\mathcal{R}_s$ ) For  $j = 0$ ,  $\mathcal{R}_s$  disappears. For  $j > 0$ , there must be  $j$   
 172 endpoints of  $\mu_i$  on  $\mathcal{W}$  and  $j$  on  $\mathcal{N}$ . Endpoint  $t(\mu_j)$  must lie on  $\mathcal{W}$ , not  $\mathcal{N}$ , and it  
 173 must be the corner separator endpoint nearest to  $\alpha$  on  $\mathcal{W}$ . The endpoints must  
 174 alternate on  $\mathcal{W}$  as shown in Figures 4(a),(d). Thus for  $j$  odd, the top endpoint  
 175 on  $\mathcal{W}$  is  $t(\mu_1)$ , so  $s(\mu_1)$  is on  $\mathcal{N}$ ; for  $j > 0$  even,  $s(\mu_1)$  is on  $\mathcal{W}$ . Either way,  
 176  $P_{s, s(\mu_1)} = Run[s, s(\mu_1)]$ .

177  $P_{s(\mu_1), t(\mu_j)}$  makes round trips (see Figure 4(e)) between  $\mathcal{W}$  and  $\mathcal{N}$  via the  $\mu_i$ .  
 178 These trips have the form  $\|\mu_i\| Run[t(\mu_i), s(\mu_{i+1})] \|\mu_{i+1}\|$  and alternate leaving  
 179 from  $\mathcal{N}$  or from  $\mathcal{W}$ , as the return leg of one trip is the outgoing leg of the next.  
 180 Furthermore,  $t(\mu_{i+1})$  must be adjacent to  $s(\mu_i)$  to ensure the Hamiltonicity of  
 181  $P_{s, t}$ . Between  $t(\mu_i)$  and  $s(\mu_{i+1})$ , the path must have the form  $Run[t(\mu_i), s(\mu_{i+1})]$ ,  
 182 which may be just a single edge  $(t(\mu_i), s(\mu_{i+1}))$ .

Thus for  $j > 0$ ,  $P_{s, t(\mu_j)} = \|Run[s, s(\mu_1)]\| \|\mu_1\| Run[t(\mu_1), s(\mu_2)] \|\mu_2\| \dots \|\mu_i\|$   
 $\|\mu_i\| Run[t(\mu_i), s(\mu_{i+1})] \|\mu_{i+1}\| \dots \|\mu_{j-1}\| Run[t(\mu_{j-1}), s(\mu_j)] \|\mu_j\|$ .  $\square$

Observation 4. (about  $\mathcal{R}_\alpha$ ) In special case (b), in which  $s = a$  and  $\alpha$  is adjacent  
 to  $s(\eta_1)$ , we have that  $\alpha = a'$  and so  $P_{a, a'} = seg[s = a, \alpha]$  on  $\mathcal{W}$ . In special case  
 (c), in which  $P_{s, t}$  contains edge  $(t(\mu_j), a = \alpha)$ , we have that  $P_{a, a'} = seg[a = \alpha, a']$   
 on  $\mathcal{S}$ . Otherwise, two segments of  $P$  meet at  $\alpha$ , one on  $\mathcal{W}$ , one on  $\mathcal{S}$ ; one has  
 unit length and the other is strictly longer. See Figure 5(a),(b). It follows from  
 Observation 1 that either  $P_{a, a'} = \|Run^{\geq}[a, u]\| seg[u, \alpha] \|Run^{\leq}[\alpha, a']\|$ , with  $u$   
 at least two units from  $\alpha$  on  $\mathcal{W}$ , or  $P_{a, a'} = \|Run^{\geq}[a, \alpha]\| seg[\alpha, u] \|Run^{\leq}[u, a']\|$ ,  
 with  $u$  at least two units from  $\alpha$  on  $\mathcal{S}$ .  $\square$

Observation 5. (about  $\mathcal{R}_{mid}$ ) (See Figure 5(c),(d),(e).) Block  $\mathcal{R}_{mid}$  has no cook-  
 ies. Extending run notation,  $P_{s(\eta_1), t(\eta_k)} = \|\eta_1\| Run[t(\eta_1), t(\eta_k)]$ .  $\square$

183 The next theorem, based on Observations 1–5 and associated figures, estab-  
 184 lishes the structure of  $P$  by giving the forms that the subpaths of  $P_{s, t}$  may take  
 185 inside the blocks. See Figure 3.

186 **Theorem 1 (Structure of Simple Paths).** Let  $P_{s, t}$  be a simple path with  $k$   
 187 straight separators and  $j$  and  $\ell$  corner separators cutting off  $s$  and  $t$ , respectively.

188 **initial subpath** [ $P_{s,s(\eta_1)}$ ] The subpath  $P_{s,t(\mu_j)}$  through  $\mathcal{R}_s$  is given in Observa-  
 189 tion 3. The subsubpath  $P_{a,a'}$  through  $\mathcal{R}_\alpha$  is given in Observation 4. Appending  
 190 link( $a',s(\eta_1)$ ) and inserting link( $t(\mu_j),a$ ) (if needed), gives the structure for  
 191  $P_{s,s(\eta_1)}$ .

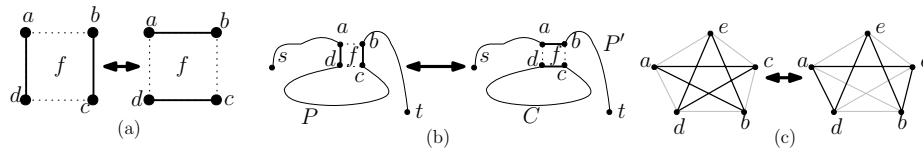
192 **middle subpath** [ $P_{s(\eta_1),t(\eta_k)}$ ] This consists of an odd number  $k$  of straight  
 193 separators  $\eta_i$  in adjacent grid columns, joined by edges  $(t(\eta_i),s(\eta_{i+1}))$ ,  $1 \leq i < k$ ,  
 194 which lie on  $N$  for odd  $i$  and on  $S$  for even  $i$ . See Observation 5.

195 **final subpath** [ $P_{t(\eta_k),t}$ ] As  $P_{t,t(\eta_k)}$  is the initial subpath of  $P_{t,s}$  (the reverse of  
 196  $P_{s,t}$ ), the forms for the final and the initial subpaths are the same.

## 197 4 Zip operation

198 In this section we define the *zip* operation that can be applied on an  $s,t$  Hamil-  
 199 tonian path  $P$ , not necessarily simple. We will use zips in our reconfiguration  
 200 algorithm in the next section. We first define some terminology, including a  
 201 *switch* operation that is used as the atomic local operation of *zip*.

202 A *vertical track*  $tr_x^v$  is the subgraph of  $G$  induced by Columns  $x$  and  $x + 1$ ,  
 203 and a *horizontal track*  $tr_y^h$  is the subgraph induced by Rows  $y$  and  $y + 1$ . A  
 204 *cycle-path cover*  $\mathbb{P}$  of  $G$  is a set of cycles and paths that collectively cover all  
 205 the vertices of  $G$ . Let  $f$  be a cell of  $G$  with face cycle  $a, b, c, d, a$  in  $G$  such that  
 206 edges  $(a, d)$  and  $(c, b)$  are the only edges of  $f$  that are in  $\mathbb{P}$  (see Figure 6). A  
 207 *switch* operation on  $\mathbb{P}$  in  $f$  replaces  $(a, d)$  and  $(c, b)$  with  $(a, b)$  and  $(c, d)$  and  
 208 thus produces another cycle-path cover  $\mathbb{P}'$ . We call  $f$  a *switchable* cell of  $G$  in  
 209  $\mathbb{P}$  (or in  $P$  for short, if  $\mathbb{P} = \{P\}$ ). Note that our switch operation is applied  
 210 locally to a cell of an *embedded* grid graph, and hence a single switch does not  
 211 preserve Hamiltonicity. We, therefore, always apply switches in pairs such that  
 212 the second switch operation in a pair patches the two parts created by the first  
 213 switch and returns an  $s,t$  Hamiltonian path. In contrast, the switch operation  
 214 defined by Lignos [?] and Takaoka [?] is applied to a four cycle of the graph,  
 215 where the graph is not necessarily planar, and may not be embedded; and their  
 216 switch operations do not need to be paired. See Figure 6(c) for an example of  
 217 the switch operation defined in [?,?].



**Fig. 6.** (a) A *switch* in a cell  $f$ . (b) A switch in a switchable cell of an *embedded*  $s,t$  Hamiltonian path  $P$  in cycle-path cover  $\mathbb{P} = \{P\}$  yields new cover  $\mathbb{P}' = \{P', C\}$ . (c) A single switch (i.e., edges  $(a, b)$  and  $(d, c)$  is replaced by edges  $(a, d)$  and  $(b, c)$ ) as defined in [?,?] on a non-planar graph preserves Hamiltonicity.



218 Observation 6. A switch operation in a grid cell that is switchable for an  $s, t$   
 219 Hamiltonian path  $P$  of  $G$  gives a cycle-path cover of  $G$ :  $\mathbb{P}' = \{C, P'\}$  where  $C$  is  
 220 a cycle and  $P'$  is a path with ends  $s$  and  $t$ . A switch in a switchable cell  $f$  of  $\mathbb{P}'$ ,  
 221 where each of  $C$  and  $P'$  contains one edge of  $f$ , gives an  $s, t$  Hamiltonian path.

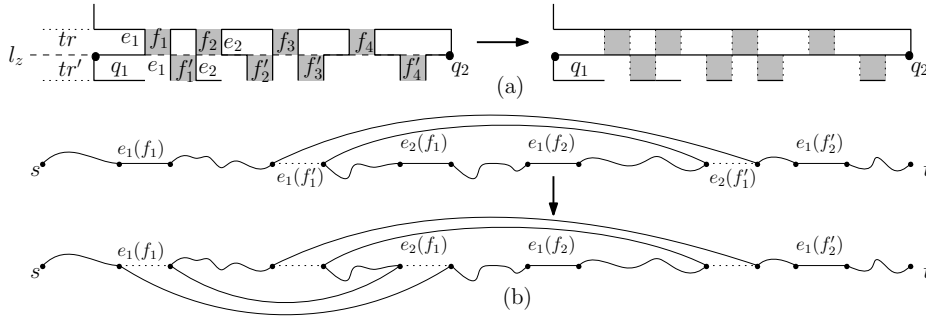
222 A *zipline*  $l_z^{q_1, q_2}$  (the superscript may be omitted for short) is a straight directed  
 223 line in  $G$  from node  $q_1$  to node  $q_2$ ;  $l_z$  lies in a row or a column of  $G$ , and  
 224 two tracks (horizontal or vertical) of  $G$  contain  $l_z$ . We designate one of those  
 225 tracks as the *cookie track*  $tr$ , and the other track the *side track*  $tr'$ . A switchable  
 226 cell  $f$  of  $tr$  or  $tr'$  is called a *perpendicular switchable cell* if the two edges that  $f$   
 227 contributes to  $P$  are perpendicular to  $tr$  (and  $tr'$ ); we denote the first of those  
 228 edges met while walking along  $l_z$  from  $q_1$  to  $q_2$  by  $e_1(f)$ , the second edge by  
 229  $e_2(f)$ , and we say that  $f$  is *between*  $q_1$  and  $q_2$  if  $e_1(f)$  and  $e_2(f)$  occur between  
 230  $q_1$  and  $q_2$ . Note that not all the switchable cells of  $tr$  and  $tr'$  between  $q_1$  and  $q_2$   
 231 are necessarily perpendicular switchable cells.

232 The *zip set*  $S$  of zipline  $l_z$  is the set of perpendicular switchable cells from  
 233  $tr$  and  $tr'$  constructed as follows: walking along  $l_z$  from  $q_1$  to  $q_2$ , we look for  
 234 the first perpendicular switchable cell in the cookie track  $tr$ ; if we find such a  
 235 switchable cell, say  $f_1$ , in  $tr$  between  $q_1$  and  $q_2$ , we look for the next perpendicular  
 236 switchable cell in the side track  $tr'$  between  $e_2(f_1)$  and vertex  $q_2$ ; if we find such  
 237 a cell (call it  $f'_1$ ) in  $tr'$  then we add both  $f_1$  and  $f'_1$  to  $S$ ; otherwise we do not add  
 238  $f_1$  to  $S$  as it cannot be paired with a switchable cell from the side track. If we  
 239 find  $f'_1$  before reaching  $q_2$ , we repeat the above process for cells between  $e_2(f'_1)$   
 240 and  $q_2$  to find pairs  $(f_2, f'_2)$ ,  $(f_3, f'_3)$  and so on. At the end,  $S$  is either empty  
 241 or consists of pairs of perpendicular switchable cells, one from  $tr$  and one from  
 242  $tr'$ . Moreover, no two cells of  $S$  share an edge, and each pair  $f_i$  and  $f'_i$  is met  
 243 consecutively on  $l_z$  between  $f'_{i-1}$  and  $f_{i+1}$ . We now define the *zip operation*; see  
 244 Figure 7.

245 **Definition 1 (Zip).** Let  $l_z^{q_1, q_2}$  be a zipline of  $G$  with cookie track  $tr$  and side  
 246 track  $tr'$ , and let  $S = \{f_1, f'_1, f_2, f'_2, \dots, f_p, f'_p\}$ , where  $|S| \geq 0$  is even, be the  
 247 zip set of  $l_z$ . The *zip operation*  $Z = \text{zip}(l_z^{q_1, q_2}, tr, tr')$  applies switch to all the  
 248 cells of  $S$  in the following order:  $f'_1, f_1, f'_2, f_2, \dots, f'_p, f_p$ .

249 We note here that the zip operation may not preserve simplicity when applied  
 250 on a simple path. However, as Lemma 1 shows, each zip and indeed each pair of  
 251 its switches, preserves  $s, t$  Hamiltonicity.

252 Let  $S = \{f_1, f'_1, f_2, f'_2, \dots, f_p, f'_p\}$  be the zip set of the zip operation  
 253  $Z = \text{zip}(l_z^{q_1, q_2}, tr, tr')$ , where  $|S| > 0$ .  $S$  is *intra-pair overlapping* if for each pair  
 254 of cells  $f_i, f'_i$  of  $S$ , the edges appear in the following *path order* on  $P_{s,t}$ :  $e_1(f_1)$ ,  
 255  $e_1(f'_1)$ ,  $e_2(f_1)$ ,  $e_2(f'_1)$ . Note that the path order of those four edges is different  
 256 from their *zipline order*, i.e., the order in which they are met on  $l_z$ . Zip set  $S$   
 257 is *inter-pair overlapping* when  $S$  is intra-pair overlapping, and the first edge  
 258  $e_1(f_{i+1})$  of the  $(i+1)^{\text{st}}$  pair is between  $e_2(f_i)$  and  $e_2(f'_i)$  on  $P$ . In other words,  
 259 the path order of the edges of the cells of  $S$  is  $e_1(f_1)$ ,  $e_1(f'_1)$ ,  $e_2(f_1)$ ,  $e_1(f_2)$ ,  
 260  $e_2(f'_1)$ ,  $e_1(f'_2)$ ,  $e_2(f_2)$ ,  $e_1(f_3)$ ,  $e_2(f'_2)$ ,  $\dots$ ,  $e_2(f'_p)$ .



**Fig. 7.** (a) An example of a zip operation on an  $s, t$  Hamiltonian path  $P$  where  $l_z$  is horizontal. (b) The cycle-path cover  $\mathbb{P}$  obtained by a switch in  $f'_1$ , and the new  $s, t$  Hamiltonian path  $P'$  obtained from the cycle-path cover by a switch in  $f_1$ .

261 **Lemma 1.** Let  $Z = \text{zip}(l_z^{q_1, q_2}, tr, tr')$  be a zip operation on an  $s, t$  Hamiltonian  
 262 path  $P$  with the zip set  $S = \{f_i, f'_i \text{ for } 1 \leq i \leq p\}$ ,  $|S| > 0$ . If  $S$  is inter-pair  
 263 overlapping, then after switching the cells  $f'_1$  and  $f_1$  we obtain an  $s, t$  Hamiltonian  
 264 path  $P'$  such that  $S' = S - \{f_1, f'_1\}$  is the zip set of  $Z' = \text{zip}(l_z^{q_1, q_2}, tr, tr')$ , where  
 265  $q$  is the endpoint of  $e_2(f'_1)$  on  $l_z$ , and  $S'$  is inter-pair overlapping.

*Proof.* We first prove that  $P'$  is an  $s, t$  Hamiltonian path. Since  $S$  is intra-pair overlapping, the path order of the edges of  $f_1$  and  $f'_1$  is  $e_1(f_1), e_1(f'_1), e_2(f_1), e_2(f'_1)$ . By Observation 6, a switch in  $f'_1$  must produce a cycle-path cover, say  $\mathbb{P}$ , of  $G$  containing exactly one cycle and one path from  $s$  to  $t$ ; see Figure 7(b). Now edge  $e_1(f_1)$  is on the path and the edge  $e_2(f_1)$  is on the cycle in  $\mathbb{P}$ . Therefore, the switch in  $f_1$  in  $\mathbb{P}$  gives an  $s, t$  Hamiltonian path by Observation 6. Since by definition of zip set,  $f_2$  is the first perpendicular switchable cell encountered in cookie track  $tr$  when walking from  $e_2(f'_1)$  to  $q_2$ ,  $S' = S - \{f_1, f'_1\}$  is the zip set of  $Z'$ . By the inter-pair overlapping property of  $S$ , only one edge  $e_1(f_2)$  of a cell in  $S - \{f_1, f'_1\}$  is on the subpath of  $P$  from  $s$  to the second endpoint of  $e_2(f'_1)$ . Since  $P$  and  $P'$  only differ in that subpath, the path order of the edges of the cells of  $S'$  on  $P'$  is the same as their path order on  $P$ . Therefore,  $S'$  is inter-pair overlapping.  $\square$

266 Using Lemma 1, we can prove the following lemma by induction. To achieve  
 267 the stated time complexity, a suitable data structure is used to store the path  
 268 edges that allows  $O(1)$  time retrieval and update.

269 **Lemma 2.** A zip operation on an  $s, t$  Hamiltonian path  $P$  of  $G$  returns another  
 270  $s, t$  Hamiltonian path  $P'$  in  $O(\max\{m, n\})$  time, where  $P$  and  $P'$  differ only at  
 271 the cells belonging to the zip set.

272 **5 Reconfiguring Simple Paths**

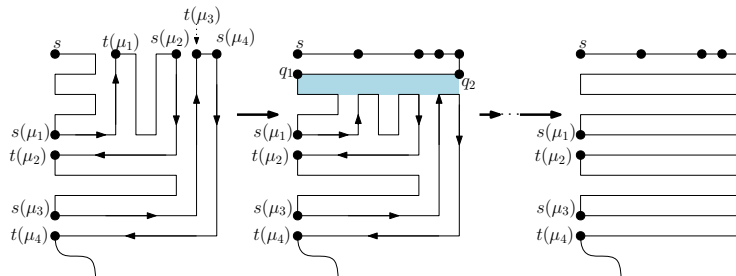
273 In this section, we give an algorithm to reconfigure any simple path  $P$  to another  
 274 simple path  $P'$ . The algorithm reconfigures  $P$  and  $P'$  to canonical paths  $\mathbb{P}$  and  $\mathbb{P}'$ ,  
 275 respectively; it then reconfigures  $\mathbb{P}$  to  $\mathbb{P}'$  in case they are not the same path (i.e.,  
 276 one of  $\mathbb{P}$  and  $\mathbb{P}'$  is the  $\mathcal{N}$ - $\mathcal{S}$  canonical path and the other is the  $\mathcal{E}$ - $\mathcal{W}$  canonical  
 277 path); and finally the algorithm reverses the steps taken from  $P'$  to  $\mathbb{P}'$ .

278 **Reconfiguring  $P$  to  $\mathbb{P}$ :** We give an algorithm that we call RECONFIGSIMP  
 279 to reconfigure any simple path  $P = P_{s,t}$  to a canonical path  $\mathbb{P}$ , where  $\mathbb{P}$  might  
 280 be either  $\mathcal{N}$ - $\mathcal{S}$  or  $\mathcal{E}$ - $\mathcal{W}$ . The algorithm runs in three steps: (a) reconfigure the  
 281 initial subpath of  $P_{s,t}$  such that either  $P_{s,\alpha}$  is a segment  $seg[s, \alpha]$  and  $\alpha = a'$ , or  
 282  $Run[s, \alpha]$  is a run of  $\mathcal{W}$  cookies of size  $x(a')$  and  $P_{\alpha,a'}$  is a segment  $seg[\alpha, a']$ ;  
 283 (b) reconfigure the final subpath (that is, the initial subpath of  $P_{t,s}$ ) similarly  
 284 to the previous step; (c) if the path resulting from Step (b) contains both the  
 285 segments  $seg[s, \alpha]$  and  $seg[\beta, t]$  then we have the  $\mathcal{N}$ - $\mathcal{S}$  canonical path and the  
 286 algorithm terminates; otherwise, we have at least one run of  $\mathcal{E}$  or  $\mathcal{W}$  cookies and  
 287 we reconfigure  $P_{s,t}$  to obtain the  $\mathcal{E}$ - $\mathcal{W}$  canonical path. (

288 **Step (a).** The algorithm reconfigures the initial subpath of  $P$  by calling two  
 289 procedures, first RECON\_ $R_s$  and then RECON\_ $s$  to  $a'$ .

290 **Procedure RECON\_ $R_s$**  reconfigures  $R_s$  by dissolving an even number of corner  
 291 separators cutting off  $s$ . If the number  $j$  is even, then all the corner separators  
 292 are dissolved; otherwise, all but  $\mu_j$  are dissolved.

293 If  $j > 0$  is even, we apply zips on a horizontal zipline  $l_z^{q_1, q_2}$ , where  $q_1$  and  
 294  $q_2$  are on the  $\mathcal{W}$  boundary and on Column  $x(s(\mu_j))$ , respectively, for all the zip  
 295 operations. The zipline  $l_z$  is first placed on Row 1. Track  $tr_0^h$  above  $l_z$  is the cookie  
 296 track and track  $tr_1^h$  below  $l_z$  is the side track. The zip operation then grows a  
 297  $\mathcal{W}$  cookie of size  $x(q_2)$  in the cookie track. We then move the zipline two rows  
 298 down and apply a similar zip. In this way the zipline is *swept downward* until  
 299 it is on Row  $y(t(\mu_j))$ , where the final zip is applied to obtain a simple path  $P'$   
 300 with no corner separators cutting off  $s$ . We call this procedure a SWEEPDOWN;  
 see Figure 8.



301 **Fig. 8.** Intermediate steps of a SWEEPDOWN procedure on  $\mathcal{R}_s$  of  $P$ .

302 If  $j$  is odd, we apply a procedure SWEEPRIGHT similar to SWEEPDOWN. Zips  
 303 are applied on a vertical zipline  $l_z^{q_1, q_2}$ , where  $q_1$  and  $q_2$  are on the  $\mathcal{N}$  boundary

304 and on Row  $y(t(\mu_j))$ , respectively, and the cookie track is on the left and the  
 305 side track is on the right of  $l_z$ . The zipline starts from Column 1 and finishes on  
 306 Column  $x(s(\mu_j)) - 1$ , sweeping two columns eastward after every zip except the  
 307 last one.

308 Let  $P'$  be the path returned by `RECON_R_s`. The following lemma shows that  
 309  $P'$  is a simple path with at most one corner separator cutting off  $s$ .

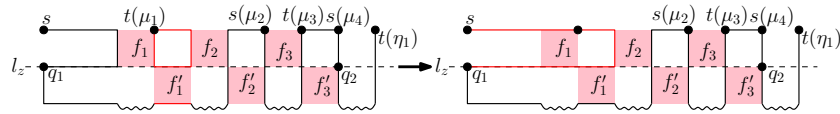
310 **Lemma 3.**  $P'$  is a simple path with no corner separator if  $j$  is even, and  $P'$   
 311 has a single corner separator that coincides with  $\mu_j$  of  $P$  if  $j$  is odd.

312 *Proof.* By Lemma 2, each of the zip operations in the `SWEEPDOWN` procedure  
 313 gives an  $s, t$  Hamiltonian path, although it might not necessarily be simple. First  
 314 assume that  $j$  is even. To prove that the  $P'$  is a simple  $s, t$  Hamiltonian path,  
 315 we show that each zip operation satisfies the following pre- and post-conditions.

316 **Pre-condition:**  $P_{q_1, q_2}$  occupies only the rows on or below the cookie track.

317 **Post-condition:** Cookie track  $tr$  contains a  $\mathcal{W}$  cookie of size  $x(q_2)$ .

Since the cookie track of the first zip  $Z_1$  is incident to the  $\mathcal{N}$  boundary, the  
 input  $P$  satisfies the pre-condition. Let  $P_1$  be the path obtained by applying  
 $Z_1$  to  $P$ . Every switch in  $tr'$  creates an island in  $tr$  and the next switch in  $tr$   
 connects that island to the  $\mathcal{W}$  cookie, thus increasing the size of the  $\mathcal{W}$  cookie.  
 The first switch of  $Z_1$  is illustrated in Figure 9. At the end of  $Z_1$ , there is one

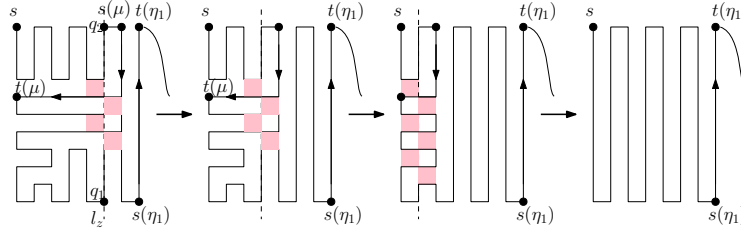


**Fig. 9.** The  $1 \times 1$  island, depicted by red line, in the cookie track created by the  
 switch in  $f'_1$  is attached to the  $\mathcal{W}$  cookie by the switch in  $f_1$ . The  $\mathcal{W}$  cookie after  
 the switch is depicted by red line.

single  $\mathcal{W}$  cookie of size  $x(q_2)$  in  $P_1$  satisfying the post-condition. Then  $P_1$  also  
 satisfies the pre-condition of the next zip operation where the cookie track is  
 $tr_2^h$ , as there are no edges perpendicular to the side track  $tr_1^h$  of  $Z_1$  in  $P_1$ . In this  
 way, we can show that after each zip operation we have a  $\mathcal{W}$  cookie of size  $x(q_2)$   
 in the respective cookie track. Therefore, at the end of the sweep,  $\mathcal{R}_s$  of  $P'$  is  
 covered by a run of  $\mathcal{W}$  cookies; see Figure 8. The case when  $j$  is odd is the same  
 when the grid is flipped along the  $s, t$  diagonal.  $\square$

318 **Procedure** `RECON_s_to_a'` applies zips on  $P'$  to obtain another simple path  $P''$ ,  
 319 where the initial subpath of  $P''$  has either a run of  $\mathcal{W}$  cookies or no cookies at all.  
 320 If there is an odd number of columns, including the  $\mathcal{W}$  boundary, to the left of  
 321  $\eta_1$ , we apply a *SweepLeft* procedure similar to the *SweepRight* procedure above.  
 322 The zips are applied on a vertical zipline  $l_z^{q_1, q_2}$ , where  $q_1$  and  $q_2$  are on the  $\mathcal{S}$   
 323 boundary and  $\mathcal{N}$  boundary, respectively, and the cookie track is on the right and

324 the side track is on the left of  $l_z$ . The zipline starts from Column  $x(s(\eta_1)) - 2$   
 325 and finishes on Column 1, sweeping two columns westward after every zip except  
 the last one. See Figure 10. Otherwise, when there is an even number of columns



**Fig. 10.** The intermediate paths in the SWEEPLEFT procedure in  $P'$ .

326  
 327 covered by the initial subpath of  $P$ , the number of rows must be odd [?]. We  
 328 apply a *SweepDown* procedure from Row 1 to Row  $m - 2$ , where  $q_1$  is on the  $\mathcal{W}$   
 329 boundary and  $q_2$  is on  $\eta_1$  for all the ziplines. The following lemma proves the  
 330 correctness of procedure RECON\_s\_to\_a'.

331 **Lemma 4.** *The initial subpath of  $P''$  has either a run of  $\mathcal{W}$  cookies or no cookies*  
 332 *at all.*

*Proof.* If  $x(\eta_1)$  is even, then there must be an odd number of rows and no corner  
 separators in  $P'$ . Then the SWEEPDOWN procedure grows a run of  $\mathcal{W}$  cookies  
 in a similar way as in the proof of Lemma 3. If  $x(\eta_1)$  is odd, then there are two  
 cases to consider based on the existence of a corner separator cutting off  $s$  in  
 $P'$ . In both cases, SWEEPLEFT works similarly to SWEEPDOWN if we flip the  
 $P_{s,s(\eta_1)}$  subpath about the diagonal.  $\square$

333 Since Step (b) is very similar to Step (a), we now describe Step (c).  
 334 **Step (c).** Let the path obtained after Steps (a) and (b) be  $\mathcal{P}$ . If there exists  
 335 any  $\mathcal{E}$  or  $\mathcal{W}$  cookies in  $\mathcal{P}$ , then SWEEPDOWN is applied on the whole path, where  
 336 the starting point  $q_1$  of each zipline is on the  $\mathcal{W}$  boundary and the ending point  
 337  $q_2$  is on the  $\mathcal{E}$  boundary. The following theorem follows from Lemmas 3 and 4.

338 **Theorem 2.** *Algorithm RECONFIGSIMPL reconfigures a simple path in a rectan-*  
 339 *gular grid graph  $G$  to a canonical path of  $G$  in  $O(|G|)$  time.*

340 **Reconfiguring  $\mathbb{P}$  to  $\mathbb{P}'$ :** This step is similar to Step (c) of Algorithm RECON-  
 341 FIGSIMPL; if  $\mathbb{P}$  is  $\mathcal{N}$ - $\mathcal{S}$  then we grow horizontal straight separators by sweeping  
 342 downward; otherwise, we grow vertical separators by sweeping eastward. We now  
 343 have the following theorem.

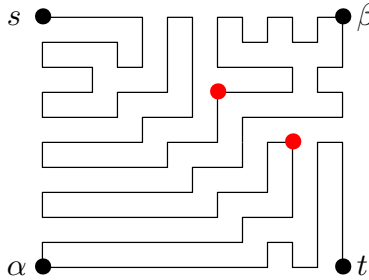
344 **Theorem 3.** *Let  $\mathbb{P}$  and  $\mathbb{P}'$  be two different canonical paths of  $G$ . Then  $\mathbb{P}$  can be*  
 345 *reconfigured to  $\mathbb{P}'$  using zips in  $O(|G|)$  time.*

346 **Main Algorithmic Result:** We now state the central algorithmic result of our  
 347 paper in the following theorem.

348 **Theorem 4.** *Let  $P$  and  $P'$  be two simple paths of a rectangular grid graph  $G$ .*  
 349 *Then  $P$  can be reconfigured to  $P'$  using zips in  $O(|G|)$  time.*

## 350 6 Conclusion

351 We have opened the exploration of reconfiguration of families of Hamiltonian  
 352 paths in grid graphs. We have established the structure of any *simple*  $s, t$  Hamil-  
 353 tonian path in a rectangular grid graph  $G$  and given an  $O(|G|)$  algorithm to  
 354 reconfigure any such path to any other using *zip* operations. It would be inter-  
 355 esting to find new families of  $s, t$  Hamiltonian paths, as shown in Figure 11, and  
 356 local operations that can reconfigure them.



**Fig. 11.** An  $s, t$  Hamiltonian path, where the red vertices are connected to a boundary by at least 4 segments on the path.

357 The problems about reconfiguring Hamiltonian paths with arbitrary end ver-  
 358 tices remain open. Another future direction of research could be reconfiguration  
 359 of Hamiltonian paths in  $d$  dimension, where  $d \geq 3$ .

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