

# Flow grammars – a flow analysis methodology

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**Abstract:** Flow grammars provide a new mechanism for modelling control flow in flow analyzers and code optimizers. Existing methods for representing control flow are inadequate in terms of both their generality and their accuracy. Flow grammars overcome these deficiencies and are well-suited to the specification and solution of data flow analysis problems.

## 1 Introduction

Source programs must be subjected to sophisticated and extensive optimization to approach the full potential of modern computer architectures. Many powerful optimizations rely on *static analysis* of the source code. Examples of such static analysis include: live variable analysis [7], various forms of constant propagation [10,20], and aliasing analysis [4]. All of these static analyses may be realized as instances of *flow analysis problems*. They share the following general framework [10]:

1. A *model* of the program defines points where information is to be determined, as well as how control may flow between those points at run-time.
2. A set of *abstract states* representing the desired static information is created. In the case of constant propagation, for example, each abstract state is a partial mapping from variable names to values. Generally this *information space* is structured as a lattice or semi-lattice [5,10]. For some analyses, this set of states may even depend upon the structure of the model used to represent the program [17].
3. A set of *flow equations* relating the abstract state of the program at one point with the points that may immediately precede or follow it during execution.

The goal is to find a least fix point solution to the set of equations. The solution is then used to guide the optimization process.

This paper describes a new formalism which we call *flow grammars*, developed for use in the *Flow Analysis Compiler Tool* (FACT), an on-going research project at the University of Victoria

[19]. Specifically, we demonstrate how context-free flow grammars may be used to model both intra- and inter-procedural control flow; we subsequently show how to interpret a context free grammar as a set of data flow equations; and we give an approach for solving these equations. Finally, we demonstrate that programming language control constructs form a hierarchy which corresponds in a natural manner to a hierarchy of grammar classes.

## 2 Program executions

This section motivates the use of grammars to model control flow. The essential idea is to represent each possible execution of a program as a string of symbols, called an *execution path*, where each symbol corresponds to a fixed run-time action that may be made by the program during execution (e.g., an assignment). Following the framework of denotational semantics, each run-time action may be viewed as a function transforming one program state into another. In a *concrete semantics* the program state consists of the values of all variables, as well as the input and output streams; such states are called *concrete states*. Static analyses typically define an *abstract semantics* where program states contain only the information needed to infer facts about the concrete state at various points of execution; these states are called *abstract states*. Since these facts must be true regardless of which path is followed on a given run, static analyses must consider all possible executions of a program. A mechanism for representing a (potentially infinite) number of execution paths is needed. Recursive set equations, where each set contains zero or more execution paths, satisfy this requirement. These equations correspond to grammars in formal language theory.

### 2.1 Execution paths

An *execution path* represents a single execution path through part of a program. A *complete execution path* represents a single execution of an entire program. Consider the program in Figure 1, where program points are indicated by numbers to the left of the code. Program point 4, for example, occurs between the assignments “ $f := f * i$ ” and “ $i := i - 1$ ”. Each execution path may be viewed as a sequence of program point pairs. For this program there is one complete execution path (though a given static analysis may not be able to determine this fact):

(1,2) (2,3) (3,4) (4,5) (5,6) (6,3) (3,4) (4,5) (5,7) (7,8)

For better readability, we abbreviate the path description to:

$t_1 t_2 t_3 t_4 t_{5/6} t_6 t_3 t_4 t_{5/7} t_7$

The decision at the **if** statement is embodied in the symbols  $t_{5/6}$  and  $t_{5/7}$ : when control proceeds from point 5 to point 6, the true branch has been taken; when control proceeds from point 5 to point 7, the false branch was taken. In this formulation, an execution path is simply a string of symbols, each of which represents one or more run-time actions.

**Figure 1. Example Pascal program.**

```
program ex(output);
label 10;
var i, f:integer;
begin
1   i := 3;
2   f := 1;
3 10: f := f * i;
4   i := i - 1;
5   if i>1 then
6     goto 10;
7   writeln(f)
8 end.
```

## 2.2 Representation

To perform optimization, a compiler needs to know the (abstract) state of the program at each point  $p$  irrespective of what particular execution path was taken to get to  $p$ . Thus, a compiler must consider the *entire* set of possible execution paths to compute the abstract state at each point in the program.

Suppose  $S_i$  is the set of execution paths starting from point  $i$  in a program and ending at the end of the program. Then the control flow of the source program yields a set of relationships among these sets. For a backwards analysis of the example program, these relationships may be represented by the family of set equations, as shown in Figure 2. The *least fixed point (lfp) solution* of these equations yields the set of execution paths from each point to the end of the program. Note that there is only one path from point 6 to the end of the program, “ $t_6 t_7$ ”, and, correspondingly, the lfp solution of  $S_6 = \{ t_6 t_7 \}$  and  $S_7 = \{ t_7 \}$ . The loop in the program (points 3 through 6) implies that there are an infinite number of possible executions, and thus execution paths in the sets  $S_1, S_2, \dots, S_6$  each contain an infinite number of paths.

**Figure 2. Execution path equations for the example program for use in a backwards analysis**

$$\begin{aligned}
 S_1 &= \{t_1\} \bullet S_2 & S_5 &= \{t_{5/6}\} \bullet S_6 \cup \{t_{5/7}\} \bullet S_7 \\
 S_2 &= \{t_2\} \bullet S_3 & S_6 &= \{t_6\} \bullet S_3 \\
 S_3 &= \{t_3\} \bullet S_4 & S_7 &= \{t_7\} \\
 S_4 &= \{t_4\} \bullet S_5
 \end{aligned}$$

$$\text{where } A \bullet B = \{\alpha \beta \mid \alpha \in A, \beta \in B\}$$

In a forward analysis, information is known at the start of execution and must be propagated forward through the program. This is represented by equations that “mirror” the backwards analysis equations, as shown in Figure 3. Here,  $S_1$  is the start of the program, and consequently its least fixed point solution contains only the empty execution path,  $\epsilon$ . Similarly,  $S_2$  contains only the execution path  $t_1$ , since there is only one path from the start of the program to point 2. The top of the loop, at point 3, introduces a union of paths, one entering the loop from outside (point 2) and the other from the bottom of the loop (point 6). Again the lfp solution to these equations has a structure defined by the equations that yields only execution paths representing possible executions.

**Figure 3. Forward analysis execution path equations for the example program**

$$\begin{aligned}
 S_1 &= \{\epsilon\} & S_5 &= \{t_4\} \bullet S_4 \\
 S_2 &= \{t_1\} \bullet S_1 & S_6 &= \{t_{5/6}\} \bullet S_5 \\
 S_3 &= \{t_2\} \bullet S_2 \cup \{t_6\} \bullet S_6 & S_7 &= \{t_{5/7}\} \bullet S_5 \\
 S_4 &= \{t_3\} \bullet S_3 & S_8 &= \{t_7\} \bullet S_7
 \end{aligned}$$

## 2.3 Semantics

Given a set of equations describing execution paths, along with semantic functions for each symbol, it is possible to define a generalized program semantics that takes into account the behaviour of all possible executions. The idea is define semantic functions for *sets* of execution paths. Starting with the set  $S_1 = \{\epsilon\}$  in Figure 3, for example:

$$\llbracket \{\epsilon\} \rrbracket = \lambda S.S, \text{ the identity function}$$

and, thus,  $\llbracket S_1 \rrbracket = \lambda S.S$ . For  $S_2$ , the semantic function for  $S_1$  must be composed with the meaning of the set  $\{t_1\}$ :

$$\begin{aligned}
\llbracket S_2 \rrbracket &= \llbracket \{t_1\} \rrbracket \circ \llbracket S_1 \rrbracket \\
&= \llbracket t_1 \rrbracket \circ (\lambda S.S) \\
&= \llbracket t_1 \rrbracket
\end{aligned}$$

Here the semantics of the singleton set  $\{t_1\}$  is composed with the identity function, which is just the semantics of the singleton set itself. From above, the semantics of a single execution path may be determined by composing the semantic functions of the constituent symbols. In this case, just  $t_1$ . Thus,  $\llbracket \{t_1\} \rrbracket = \llbracket t_1 \rrbracket$ .

When two sets are merged in a union, as in the equation for  $S_3$  above, the *meet* of the corresponding functions must be computed. This is typically the *point-wise meet*,  $\wedge: (L \rightarrow L) \rightarrow (L \rightarrow L)$ , of the functions, defined as:

$$f_1 \wedge f_2 = \lambda S. f_1(S) \wedge f_2(S)$$

where  $\wedge: L \rightarrow L$  is the meet operator of the original lattice. In the case of  $S_3$ , the equation is:

$$\llbracket S_3 \rrbracket = (\llbracket \{t_2\} \rrbracket \circ \llbracket S_2 \rrbracket) \wedge (\llbracket \{t_6\} \rrbracket \circ \llbracket S_6 \rrbracket)$$

Figure 4 shows the complete set of forward analysis equations for the example program. The goal of static analysis is to compute the portion of the least fixed point functionals for each of these equations needed to determine the abstract state at each point in the program.

**Figure 4. Semantic equations for forward analysis**

$$\begin{array}{ll}
\llbracket S_1 \rrbracket = \llbracket \{\epsilon\} \rrbracket & \llbracket S_6 \rrbracket = \llbracket \{t_{5/6}\} \rrbracket \circ \llbracket S_5 \rrbracket \\
\llbracket S_2 \rrbracket = \llbracket \{t_1\} \rrbracket \circ \llbracket S_1 \rrbracket & \llbracket S_7 \rrbracket = \llbracket \{t_{5/7}\} \rrbracket \circ \llbracket S_5 \rrbracket \\
\llbracket S_3 \rrbracket = (\llbracket \{t_2\} \rrbracket \circ \llbracket S_2 \rrbracket) \wedge (\llbracket \{t_6\} \rrbracket \circ \llbracket S_6 \rrbracket) & \llbracket S_8 \rrbracket = \llbracket \{t_7\} \rrbracket \circ \llbracket S_7 \rrbracket \\
\llbracket S_4 \rrbracket = \llbracket \{t_3\} \rrbracket \circ \llbracket S_3 \rrbracket & \\
\llbracket S_5 \rrbracket = \llbracket \{t_4\} \rrbracket \circ \llbracket S_4 \rrbracket &
\end{array}$$

## 2.4 Generating execution paths with a grammar

The equations in Figure 2 correspond exactly to the regular grammar in Figure 5. What have previously been called “symbols” are now terminals in a grammar. Similarly, the variables in Figure 2 are now non-terminals.

**Figure 5. Grammar generating execution paths for example program**

$$\begin{array}{ll}
 S_1 ::= t_1 S_2 & S_5 ::= t_{5/6} S_6 \\
 S_2 ::= t_2 S_3 & S_5 ::= t_{5/7} S_7 \\
 S_3 ::= t_3 S_4 & S_6 ::= t_6 S_3 \\
 S_4 ::= t_4 S_5 & S_7 ::= t_7
 \end{array}$$

The set of strings generated by each of the non-terminals in this grammar is equal to the lfp solution of the corresponding equation above. Note also that non-terminals at the end of each production act as *continuations*, indicating where execution is to proceed.

### 3 Definition: flow grammar

A *flow grammar* is a quadruple  $G=(\Sigma_N, \Sigma_T, P, S)$  where:  $\Sigma_N$  is the set of *flow non-terminals*,  $\Sigma_N$ , corresponding to the program points;  $\Sigma_T$  is the set of *flow terminals* corresponding to run-time actions such as assignments;  $P$  is a set of *flow productions* of the form  $\alpha ::= \beta$ , where  $\alpha \in \Sigma_N^+$  and  $\beta \in (\Sigma_T \cup \Sigma_N)^*$ ; and  $S$  is the *flow start symbol* and corresponds to the beginning of the program.<sup>1</sup>

#### 3.1 Example: interprocedural control flow

Interprocedural analysis requires a context-free flow grammar to model the matching of calls and returns. Figure 6 shows a small Pascal program which is used to demonstrate interprocedural data flow analysis in the next section. Flow productions modeling the example program are shown in Figure 7. Of particular importance are the productions corresponding to the procedure calls, “ $S_4 ::= t_{4/7} S_1 t_{10/5} S_5$ ” and “ $S_8 ::= t_{8/7} S_1 t_{10/9} S_9$ ”. Both of these productions have an embedded non-terminal,  $S_1$ , representing entry to the procedure being called. Terminals  $t_{4/7}$  and  $t_{8,7}$  represent the actions that occur on procedure entry from points 4 and 8, respectively. Similarly,  $t_{10/5}$  and  $t_{10/9}$  represent the actions that occur upon return to the respective call sites.

1.  $\Sigma^*$  is the set of all strings over  $\Sigma$ , including the empty string  $\epsilon$ ;  $\Sigma^+$  is the set of all non-empty strings over  $\Sigma$ .

**Figure 6. Example Pascal program.**

<pre> program example(output); var f,i:integer; procedure nfact; begin 1   if i&lt;=1 then 2     f := 1     else begin 3     i := i-1; 4     nfact; 5     f := f*i     end 6 end; </pre>	<pre> (* this is an incorrect    implementation of    factorial *)  begin 7   i:=5; 8   nfact; 9   write(f) 10 end. </pre>
--	--

**Figure 7. Flow grammar corresponding to program in Figure 6.**

$$\begin{array}{ll}
 S_1 ::= t_{1/2} S_2 & S_6 ::= t_6 \\
 S_1 ::= t_{1/3} S_3 & S_7 ::= t_7 S_8 \\
 S_2 ::= t_2 S_6 & S_8 ::= t_{8/1} S_1 t_{6/9} S_9 \\
 S_3 ::= t_3 S_4 & S_9 ::= t_9 S_{10} \\
 S_4 ::= t_{4/1} S_1 t_{6/5} S_5 & S_{10} ::= t_{10} \\
 S_5 ::= t_5 S_6 &
 \end{array}$$

Note that procedure calls are handled naturally in the semantic equations, in the case of the call from the main program, we have:

$$\llbracket S_8 \rrbracket = \llbracket \{t_{8/1}\} \rrbracket \circ \llbracket S_1 \rrbracket \circ \llbracket \{t_{6/9}\} \rrbracket \circ \llbracket S_9 \rrbracket$$

### 3.2 Discussion

It is interesting to consider the relationship between the Chomsky hierarchy and various programming language constructs. The boundary between the context-free (type 2 in the hierarchy) and context-sensitive (type 1) flow grammars is important because the former admit the straightforward translation to flow equations shown above, but the latter do not.

A regular flow grammar (type 3) corresponds directly to a flow graph, and is therefore capable of representing the same intraprocedural constructs, including if/then/else, loops, and gotos to (constant) labels. Label variables are somewhat anomalous. At the expense of increased size, a regular flow grammar can precisely model intraprocedural control flow containing only simple label variables. The idea is to encode the current state of all label variables into each non-terminal of the

flow grammar. This results in a finite number of non-terminals, because there must be a finite number of simple label variables, each of which can assume a finite number of label values. When an assignment to a label variable occurs, the productions ensure the continuation non-terminal encodes the correct state. Note, however, that label variables in arrays and other dynamic structures cannot be precisely tracked in this manner using a regular flow grammar (although conservative approximate tracking that takes account of aliasing is possible).

Context-free flow grammars add the key capability of modeling procedure calls and returns, making them suitable for many interprocedural flow analysis problems. A finite number of simple procedure variables may be directly encoded into the non-terminals similar to the encoding of label variables above. Goto statements whose (constant) target is not local cause premature termination of one or more activation records, including their suspended continuations. Surprisingly, this can be modeled with a context-free flow grammar by creating productions that generate prefixes of execution paths that eventually end with a production representing the non-local goto. Ginsburg and Rose show that the language of all proper prefixes of a context-free language is itself context-free [6], validating the assertion that such control flow is still context-free; details relating this result to flow grammar construction may be found in [19].

We note several important aspects of the flow grammar methodology:

1. Interprocedural and intraprocedural control flow are unified into a single all-encompassing model.
2. Results from formal language theory are useful when projected into patterns of control flow. For example, in-line expansion may be effected by the elimination of a production.
3. The structure of regular and context-free flow grammars naturally reflect a set of flow equations; a data flow analysis simply interprets the terminals and non-terminals in appropriate domains.

### **3.3 Interprocedural data flow analysis: an example**

Within a flow grammar, each non-terminal represents an execution point in the program. As shown above, each non-terminal may be interpreted as representing the *state* of the program at that point. The state should, of course, capture just the information that is relevant to the data flow problem that we are interested in. For the live variables problem, the state is usually described by the set of variables that are live at a program point.

Translating our example context-free flow grammar to a set of backwards flow equations (as would be needed for solving the *live variables* problem) yields the following family of equations:

$$\begin{aligned}
\llbracket S_1 \rrbracket &= \llbracket \{t_{1/2}\} \rrbracket \circ \llbracket S_2 \rrbracket \wedge \llbracket \{t_{1/3}\} \rrbracket \circ \llbracket S_3 \rrbracket \\
\llbracket S_2 \rrbracket &= \llbracket \{t_2\} \rrbracket \circ \llbracket S_6 \rrbracket & \llbracket S_7 \rrbracket &= \llbracket t_7 \rrbracket \circ \llbracket S_8 \rrbracket \\
\llbracket S_3 \rrbracket &= \llbracket t_3 \rrbracket \circ \llbracket S_4 \rrbracket & \llbracket S_8 \rrbracket &= \llbracket t_{8/1} \rrbracket \circ \llbracket S_1 \rrbracket \circ \llbracket t_{6/9} \rrbracket \circ \llbracket S_9 \rrbracket \\
\llbracket S_4 \rrbracket &= \llbracket t_{4/1} \rrbracket \circ \llbracket S_1 \rrbracket \circ \llbracket t_{6/5} \rrbracket \circ \llbracket S_5 \rrbracket & \llbracket S_9 \rrbracket &= \llbracket t_9 \rrbracket \circ \llbracket S_{10} \rrbracket \\
\llbracket S_5 \rrbracket &= \llbracket t_5 \rrbracket \circ \llbracket S_6 \rrbracket & \llbracket S_{10} \rrbracket &= \llbracket t_{10} \rrbracket \\
\llbracket S_6 \rrbracket &= \llbracket t_6 \rrbracket
\end{aligned}$$

As a concrete example of the general technique, we now consider the specific problem of determining if a variable is *live* at a given point in the program (i.e., there exists a path from that point to a use of that variable without an intervening assignment to the variable). For *intraprocedural* live variables, the lattice  $L = 2^V$ , where  $V = \{i, f\}$ , suffices, so that each  $S_i \in 2^{\{i, f\}}$ . The meet operator  $\wedge$  is set union. With this interpretation and for the particular problem of live variables, the effects of the terminals may be described by set equations corresponding to “gen” and “kill” sets [1]; for example,  $t_5$  represents the execution of the statement “ $f := f * i$ ” which kills  $f$  and then generates  $f$  and  $i$ , thus:

$$\llbracket t_5 \rrbracket = \lambda x . ((x - \{f\}) \cup \{f, i\})$$

As described above, a richer domain is required for a precise *interprocedural* analysis. In general, the effect of a statement inside a function depends on the environment of the function call. Therefore, we use a domain whose elements have the form *environment*  $\rightarrow$  *state* to provide the values associated with terminals and non-terminals of the flow grammar. For the live variables problem, both the state and the environment may be represented by a set of live variables. I.e., the environment of a function call is the state at the point of call, in this case the set of variables that are live on return from the function. Thus all values, for both terminals and non-terminals, belong to the domain of functions,  $2^V \rightarrow 2^V$ . However, it is unnecessary to compute the function values fully. We only need to know the effects of statements inside a function for those invocation environments that actually occur. Thus, our iterative approach for finding a fixpoint is demand-driven and, in general, only partially computes the functions.

Our analysis uses the fact that no variable in the program is live at the point where the program terminates. (If a program sets a status variable that could be inspected by the operating system on return, such a variable would be deemed to be live at program point  $S_{10}$ ). The iteration to compute

the functions proceeds as follows. Each value shows what is known about the various functions at each iteration. Suppose that, in the course of an iteration,  $\llbracket S_2 \rrbracket$  currently has the value  $\{ \{f\} \rightarrow \{ \}, \{f, i\} \rightarrow \{i\} \}$ . This would indicate that the function containing point  $S_2$  is currently known to have two calling environments,  $\{f\}$  and  $\{f, i\}$ , i.e., in one set of calls to the enclosing function,  $f$  is the only live variable on exit and in another set of calls, both  $f$  and  $i$  are live on exit. When that function is invoked in the  $\{f\}$  environment, the set of live variables at point  $S_2$  is  $\{ \}$ ; similarly the calling environment  $\{f, i\}$  gives  $\{i\}$ . If the value of  $\llbracket S_2 \rrbracket$  is shown as the empty set  $\Phi$ , this corresponds to the bottom element of the enriched lattice and means that no invocations of the function containing point  $S_2$  have been processed yet.

Initially, we want to know what is live at the beginning of the program, and this is represented by  $\llbracket S_7 \rrbracket(\{ \})$ . That is,  $S_7$  is contained in the main program and the environment for the main program is an empty set – no variables are live on exit. The demand for  $\llbracket S_7 \rrbracket(\{ \})$  triggers the addition of  $\{ \} \rightarrow \{ \}$  to  $\llbracket S_{10} \rrbracket$ , and initiates the first iteration. Note that the particular order in which values are computed leads to rapid convergence; other orders will yield the same solution but will usually require more iterations.

State	Iteration Number			
	1	2	3	4
$\llbracket S_6 \rrbracket$	$\Phi$	$\{ \{f\} \rightarrow \{f\} \}$	$\{ \{f\} \rightarrow \{f\}, \{f, i\} \rightarrow \{f, i\} \}$	$\{ \{f\} \rightarrow \{f\}, \{f, i\} \rightarrow \{f, i\} \}$
$\llbracket S_5 \rrbracket$	$\Phi$	$\{ \{f\} \rightarrow \{f, i\} \}$	$\{ \{f\} \rightarrow \{f, i\}, \{f, i\} \rightarrow \{f, i\} \}$	$\{ \{f\} \rightarrow \{f, i\}, \{f, i\} \rightarrow \{f, i\} \}$
$\llbracket S_4 \rrbracket$	$\Phi$	$\{ \{f\} \rightarrow \{ \} \}^a$	$\{ \{f\} \rightarrow \{ \}, \{f, i\} \rightarrow \{ \} \}$	$\{ \{f\} \rightarrow \{i\}, \{f, i\} \rightarrow \{i\} \}$
$\llbracket S_3 \rrbracket$	$\Phi$	$\{ \{f\} \rightarrow \{i\} \}$	$\{ \{f\} \rightarrow \{i\}, \{f, i\} \rightarrow \{i\} \}$	$\{ \{f\} \rightarrow \{i\}, \{f, i\} \rightarrow \{i\} \}$
$\llbracket S_2 \rrbracket$	$\Phi$	$\{ \{f\} \rightarrow \{ \} \}$	$\{ \{f\} \rightarrow \{ \}, \{f, i\} \rightarrow \{i\} \}$	$\{ \{f\} \rightarrow \{ \}, \{f, i\} \rightarrow \{i\} \}$
$\llbracket S_1 \rrbracket$	$\Phi$	$\{ \{f\} \rightarrow \{i\} \}$	$\{ \{f\} \rightarrow \{i\}, \{f, i\} \rightarrow \{i\} \}$	$\{ \{f\} \rightarrow \{i\}, \{f, i\} \rightarrow \{i\} \}$
$\llbracket S_{10} \rrbracket$	$\{ \{ \} \rightarrow \{ \} \}$	$\{ \{ \} \rightarrow \{ \} \}$	$\{ \{ \} \rightarrow \{ \} \}$	$\{ \{ \} \rightarrow \{ \} \}$
$\llbracket S_9 \rrbracket$	$\{ \{ \} \rightarrow \{f\} \}$	$\{ \{ \} \rightarrow \{f\} \}$	$\{ \{ \} \rightarrow \{f\} \}$	$\{ \{ \} \rightarrow \{f\} \}$
$\llbracket S_8 \rrbracket$	$\{ \{ \} \rightarrow \{ \} \}^b$	$\{ \{ \} \rightarrow \{i\} \}$	$\{ \{ \} \rightarrow \{i\} \}$	$\{ \{ \} \rightarrow \{i\} \}$
$\llbracket S_7 \rrbracket$	$\{ \{ \} \rightarrow \{ \} \}$	$\{ \{ \} \rightarrow \{ \} \}$	$\{ \{ \} \rightarrow \{ \} \}$	$\{ \{ \} \rightarrow \{ \} \}$

a. And add element  $\{f, i\} \rightarrow \{ \}$  to set  $\llbracket S_6 \rrbracket$ .

b. And add element  $\{f\} \rightarrow \{ \}$  to set  $\llbracket S_6 \rrbracket$ .

Reading from the final column of the table, we can deduce that there are no live variables at the beginning of the program, since  $\llbracket S_7 \rrbracket(\{ \}) = \{ \}$  (i.e., if there are no live variables at the end of exe-

cution, then there are no live variables at the start of execution). This result is a simple application of live variable analysis which proves that all variables are initialized before being used. The final column also shows which variables are live at each program point. Given a function value  $F$  for some program point  $p$ , then the set of variables that are live at  $p$  is computed as  $\bigcup_{X \rightarrow Y \in F} Y$ . For example, the set of live variables at point  $S_1$  is  $\{i\}$ ; in one calling environment, the set is empty and in the only other environment, the set is  $\{i\}$ , taking their union yields the desired answer.

### 3.4 An iteration strategy

An obvious method for speeding convergence of the iteration is to ensure that whenever a computation is performed, as many as possible of its abstract inputs are already computed. This is precisely what the techniques of Jourdan and Parigot to solve “grammar flow analysis” problems [9] yield. Combining these methods with the algorithm of Sharir and Pnueli [17, pp. 207-209] results in an effective solution procedure. In essence, the flow grammar is partitioned into a set of sub-components that encapsulate recursion, resulting in a directed acyclic graph. Iteration is then performed by visiting the sub-components in reverse topological order.

### 3.5 Handling arguments to procedures

Arguments to procedures are, in general, handled by defining an appropriate lattice and mappings for the call/return/exit terminals. The bit-vector technique of Knoop and Steffen [13], for example, may be applied directly. As more than one flow analysis specification may be incorporated into the compiler, determination of aliasing may be performed before subsequent analysis to ensure conservative solutions.

## 4 Previous work

Previous work on control flow analysis is limited; most effort has been devoted to various aspects of data flow analysis. As mentioned above, graphs are the most frequently discussed mechanism for representing control flow [5,10,12,14,17] and *graph grammars* [11] were considered for use in FACT. Graph grammars are effective for representing hierarchical control structures, but cannot handle the arbitrary control flow made possible by the **goto** statement, and also cannot effectively match calls with returns.

Languages with various flavours of procedure variables provide many challenges to effective flow analysis. Wehl's approach ignores local control flow effects to derive a conservative approximation of the possible values each procedure variable may have [21]. Shivers addresses the difficult task of determining a control flow model for a Scheme program where all functions are bound dynamically [18].

The task of specifying control flow in terms of syntax is addressed by Sethi's *plumb* project [16]. In essence, *plumb* allows a continuation passing style semantics to be specified for a programming language using a special function composition operator. Flow grammars can also be considered as representing control flow using continuations, but in a more direct manner.

Work on static analysis in the form of data flow analysis and abstract interpretation is extensive. Performing flow analysis at the source level ("high-level data flow analysis") for specific data flow problems has been considered by Rosen [15] and Babich and Jazayeri [2,3]. Generalization of various related flow analysis techniques into uniform frameworks includes the work of the Cousots [5], Kam and Ullman [10] and Kildall [12]. Marlowe and Ryder provide an excellent survey of data flow analysis problems and the computational cost of their solutions in [14].

## 5 Discussion and future work

Our main achievement has been to integrate intraprocedural and interprocedural flow analysis in a seamless manner. Flow grammars not only represent control flow effectively, but are directly amenable to specifying data flow analysis problems as well. We argue that, in a general purpose tool such as FACT, it is appropriate to begin with an accurate control flow model and lose precision at the data flow analysis stage; rather than lose precision even prior to data flow analysis by constructing an inaccurate control flow model.

Flow grammars open up a variety of avenues for future research. Preliminary work on modeling programs containing more diverse language constructs, such as exception handlers and bounded numbers of procedure variables, is encouraging. Aside from unrestricted flow grammars, we are also considering the use of two-level grammars to model the dynamic nature of procedure variables. While not discussed in this paper, we have found examples of programs for which control flow is naturally modeled by Type 0 grammars.

Work is proceeding on the algorithm to solve the flow problems generated from flow grammars. Because FACT is intended to be general purpose, minimal assumptions are made about the data flow analysis framework: that the lattice is of finite height and that all functions are monotonic. Currently under investigation is an algorithm which computes the effect of a function call using iteration for up to  $k$  different elements in the input domain, and then uses conservative approximations when necessary for subsequent inputs.

## References

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